# Calphad modeling of liquid phase models & ML support

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# **Motivation**



















# Outline

- The Calphad methodology
- Thermodynamic modeling of liquids
  - First generation Kaufmann & Bernstein (1970)
  - Second generation A. Dinsdale (1991)
  - O Challenges
- Third generation modeling : adding more physics
  - Einstein model for heat capacity Cp
  - Two-state model for the liquid phase
- Modeling chemical interaction in the liquid phase
  - (Sub) regular solutions
  - Short range order the ionic liquid model
- Machine learning on chemical interaction in the liquid
- Conclusions & Outlook





# Modélisation thermodynamique : Méthode CALPHAD



### ⇒ Couplage entre énergies de Gibbs et diagramme de phase



# The Calphad method

#### **Calphad allows to**

- calculate stable and metastable equilibria in multicomponent systems
- evaluate the coherence of experimental & theoretical data
- Extrapolate to unknown areas in the composition / temperature / pressure space
- link multicomponent thermodynamics to engineering codes : Aspen, ...
- Cover the full temperature range from 0K to (at least) 6000K

#### Many databases are available

- general purpose databases : SGTE solution, SGTE substance, FTPs,
- databases by chemistry : alloys (ferrous, Al, Mg ...), oxides, salts,...
- databases by application : HEA, light metal, solders...
- aqueous solutions

#### Calphad can not

- Predict unknown compounds & phases
- Give physical meaning to the model parameters







# Modeling of liquid phase -1<sup>st</sup> generation

### L. Kaufman & Bernstein (1970) "Computer Calculation of Phase Diagrams"

- Focus on Refractory metals
- Relative Gibbs energies
   => lattice stabilities

Metal	Free energy difference (cal/g-atom)	Temperature (°K)
Zr	$\Delta F^{\beta \to L} = 4250 - 2.0T$	$\bar{T}^{\beta} = 2125$
	$\Delta F^{\epsilon \to L} = 5280 - 2.9T$	$\bar{T}^{\epsilon} = 1820$
	$\Delta F^{\alpha \rightarrow L} = 4480 - 2.9T$	$\bar{T}^{\alpha} = 1544$
	$\Delta F^{\beta \to \epsilon} = -1030 + 0.90T$	$T_{\alpha}^{\beta \epsilon} = 1144$
	$\Delta F^{\alpha \rightarrow \epsilon} = -800$	0
	$\Delta F^{\alpha \rightarrow \beta} = + 230 - 0.90T$	$T_0^{\alpha\beta} = 255$
Hſ	$\Delta F^{\beta \rightarrow L} = 4990 - 2.0T$	$\bar{T}^{\beta} = 2495$
	$\Delta F^{\epsilon \to L} = 6820 - 2.9T$	$\bar{T}^{\epsilon} = 2351$
	$\Delta F^{\alpha \rightarrow L} = 6020 - 2.9T$	$\bar{T}^{\alpha} = 2076$
	$\Delta F^{\beta \to \epsilon} = -1830 + 0.90T$	$T_0^{\beta_{\epsilon}} = 2033$
	$\Delta F^{\alpha \rightarrow \epsilon} = -800$	Ū.
	$\Delta F^{\alpha \rightarrow \beta} = +1030 - 0.90T$	$T_0^{\alpha\beta} = 1144$



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SUMMARY OF FREE ENERGY DIFFEREN

# Modeling of liquid phase -2<sup>nd</sup> generation

CALPHAD Vol. 15, No. 4, pp. 317-425, 1991 Printed in the USA.

0364-5916/91 \$3.00 +.00 1991 Pergamon Press plc

#### SGTE DATA FOR PURE ELEMENTS

#### A T Dinsdale

Division of Materials Metrology, National Physical Laboratory, Teddington, Middlesex, TW11 0LW, UK





Journées Liquide – 29 Novembre 2024 – Paris



## Modeling of liquid phase -2<sup>nd</sup> generation





Gibbs energy of phases of Al relative to FCC\_A1



## Modeling of liquid phase -2<sup>nd</sup> generation



## Modeling of the liquid phase - Challenges



- Break points at melting are unphysical
  - 1st & 2nd derivative are not continuous
  - leads to unwanted kinks in Cp when combined in solid / liquid solutions
- Constant heat capacity for liquid is unphysical, especially at very high T (influence of density)



## Modeling of the liquid phase - Challenges

No breakpoint at melting point



(a) Al-Cr no break points, no EEC B. Sundman et al. Calphad 68 (2020): 101737 Journées Liquide – 29 Novembre 2024 – Paris Stabilization of solid phases at high temperature !



# Thermodynamic modeling of the liquid phase - Challenges



### Solution I : Equal Entropy Criteria EEC

(b) Al-Cr no break points, with EEC B. Sundman et al. Calphad 68 (2020): 101737 Journées Liquide – 29 Novembre 2024 – Paris Gibbs energy minimizer software related

- Gibbs energy & entropy are checked prior to equilibrium calculation in the full temperature range
- If S(solid) > S(liquid), the solid phase is withdrawn from the equilibrium calculation

EEC is programmed in OpenCalphad & ThermoCalc



# Thermodynamic modeling of the liquid phase - Challenges

Solution II : Extend solid above T<sub>f</sub> + change in slope



Slope change above fusion

- better for solution phases : no/less kinks in Cp curves
- implemented in all softwares
- drawback of 1st and 2nd derivative of G (solid) remains



## Modeling of the liquid phase - Challenges

Extrapolation of liquid down to RT -> glass transition



#### 2nd generation approach

- fitting the glass transition with three polynomial functions
- problem for 1st & 2nd derivative of the Gibbs energy
- problem on extrapolation in multicomponent systems



C.A. Becker et al. Physica Status Solidi (b) 251 (2014): 33-52



# Modélisation CALPHAD 3<sup>ème</sup> génération des liquides unaires

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Journées des liquides hautes températures Paris, 29 novembre 2024



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### Heat capacity of liquid metals

Stable liquid ( $T > T_m$ )



Fig. 1. Heat capacity at constant pressure of liquid metals

T.W. Chapman, The heat capacity of liquid metals, Mater. Sci. Eng. 1 (1966) 65–69 Stable ( $T > T_m$ ) and supercooled liquid ( $T < T_m$ )



Fig. 3. Heat capacity of several liquid metals as a function of temperature. The vertical marks are the melting temperatures (after Perepezko and Paik [10]).

### Heat capacity of pure selenium, a glass forming element

• For each phase, including the liquid, various G analytical expressions are used over different T ranges, with several drawbacks



# The 2-state models, 100 years of history

- 2-level models have long been used in statistical physics
- In crystals
  - to describe heat capacity anomalies at low T (Schottky 1922) or explain some different kind of phase transitions (Strässler & Kittel 1965)
- The application to **liquids** consists in making the hypothesis that a pure substance at the liquid state is made up of 2 types of distinct structural entities
  - which may or may not form an ideal binary solution
- Within the CALPHAD community
  - In 1988, Agren proposed describing the liquid phase using an ideal 2state model
  - This choice is finally retained for the 3<sup>rd</sup> generation CALPHAD descriptions
- In the glass community
  - 2-state models in various variants are used to describe the liquid phase by many authors: Macedo et al. (1966), Rapoport (1967), Angell & Rao (1972), Ponyatovsky et al. (1994), Moynihan (1997), Ojovan (2008), Holten et al. (2011)...



W. A. Schottky (1886-1976)





## The ideal 2-state model

- Key assumption
  - liquid = ideal solution of 2 types of structural entities

(Fig. adapted from Debenedetti 1996 and Zallen 1983)



- that exchange through an equilibrium :  $A \rightleftharpoons B$ 

- The mole fractions  $\xi_A$  and  $\xi_B$  of *A* and *B* vary with the external variable T
- Liquid Gibbs energy:  $G_L = \xi_A G_A^\circ + \xi_B G_B^\circ + RT(\xi_A ln\xi_A + \xi_B ln\xi_B)$ Mechanical mixture Ideal entropy of mixing
  - If  $\xi = \xi_{R}$  kept as the single internal variable = non-conservative order parameter  $G_L = G_A^\circ + \xi \Delta G_d + RT((1-\xi)\ln(1-\xi) + \xi \ln\xi)$ – With:  $\Delta G_d = G_B^\circ - G_A^\circ$ 0.8  $\xi_e$ The internal equilibrium condition:  $\left(\frac{\partial G_L}{\partial \xi}\right)_{\xi=\xi_e}=0$ Excitation profile 0.4 0.2 Gives:  $\xi_e = \frac{1}{1 + exp\left(\frac{\Delta G_d}{BT}\right)}$ 1000 500 1500 2000 T / K

### **Application to a real substance**

- Liquid Gibbs energy:  $G_L = (G_A) RT \ln(1 + \exp(-\Delta G_d) RT))$
- The 100% A phase = metastable liquid in internal equilibrium in which the structural entities only have vibrational degrees of freedom
  - Simple vibrational model based on a weighed sum of Einstein functions

$$C_p(T) = \sum_i 3R\alpha_i \left(\frac{\Theta_i}{T}\right)^2 \frac{e^{\Theta_i/T}}{\left(e^{\Theta_i/T} - 1\right)^2}$$
$$S(T) = \sum_i 3R\alpha_i \left(\frac{\Theta_i}{T} \frac{e^{\Theta_i/T}}{e^{\Theta_i/T} - 1} - \ln\left(e^{\Theta_i/T} - 1\right)\right)$$
$$H(T) - H(0) = \sum_i \alpha_i \frac{3R\Theta_i}{e^{\Theta_i/T} - 1}$$

- The Einstein temperatures  $\Theta_i$  and corresponding weights  $\alpha_i$  are adjustable parameters to be fitted to experimental results
- Gibbs energy difference between the two states
  - CALPHAD type expansion :  $\Delta G_d = A + BT + CT ln T$
  - A, B, C... coefficients to be fitted to experimental results

$$\Delta G_d = \Delta H_d - T\Delta S_d = A + BT + CT \ln T$$
$$\Delta S_d = -B - C(1 + \ln T)$$
$$\Delta H_d = A - CT$$
$$\Delta C_{pd} = -C$$

#### Configurational part ( $\Delta$ ) of the thermodynamic functions of the liquid



# Examples of 3<sup>rd</sup> generation descriptions of unary liquids Sn SiO<sub>2</sub>



G. Deffrennes, P. Faure, F. Bottin, J.M. Joubert, B. Oudot, Tin (Sn) at high pressure: Review, X-ray diffraction, DFT calculations, and Gibbs energy modeling, J. Alloys Compd. 919 (2022) 165675.



• The parameters are adjusted so that the peak of the two-state liquid corresponds to the glass transition temperature (around 1480 K)

I. Bajenova, A. Khvan, A. Dinsdale, A. Kondratiev, Implementation of the extended Einstein and twostate liquid models for thermodynamic description of pure SiO<sub>2</sub> at 1 atm, Calphad Comput. Coupling Phase Diagrams Thermochem. 68 (2020) 101716

# Conclusions



- Quelques oxydes simples : B<sub>2</sub>O<sub>3</sub>, CaO, SiO<sub>2</sub>, GeO<sub>2</sub>
- Quelques systèmes multiconstitués
  - Binaires : Al-C, Al-Zn, B-Fe, Pb-Sn, C-W
  - Ternaire : B-Fe-Nb
- Certaines de ces descriptions adoptent des choix de modélisation ou de paramétrisation discutables
- L'utilisation d'un modèle à 2 états régulier a été proposée
  - pour améliorer la capacité du modèle à représenter les informations expérimentales disponibles
  - pour pouvoir modéliser le polymorphisme liquide

# **Modeling chemical interaction**







## **Modeling interaction : (Sub) regular solution**



G. Deffrennes et al, Calphad 66 (2019) 101648.







## Modeling interaction : (Sub) regular solution

$$G^{Liq} = G^{ref} + G^{ideal} + G^{exc}$$

$$G_{m \ ex}^{Liq} = x_{Al} x_C \left( L_{Al,C_0}^{Liq} + L_{Al,C_1}^{Liq} (x_{Al} - x_C) \right)$$
  
$${}^{0} L_{Al,C}^{Liq} = {}^{0} a_{Al,C}^{Liq} + {}^{0} b_{Al,C}^{Liq} T$$

$${}^{1}L_{Al,C}^{Liq} = {}^{1}a_{Al,C}^{Liq}$$

Liquid, Redlich-Kister, (Al, C)



 [14] Qiu et al. (1994)
 -4426
 -11.1007

 [15] Gröbner et al. (1995)
 +40861.02
 -33.21138

 [17] Ohtani et al. (2004)
 +29910
 -25.586

 This Work
 -48892
 +1.15

 This Work
 +32543



 ${}^{1}L^{Liq}_{Al,C}$  This V G. Deffrennes et al, Calphad 66 (2019) 101648.



 ${}^{0}L^{Liq}_{Al,C}$ 





### Modèle ionique pour décrire les liquides

### **Christine Guéneau**

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## Partially ionic two sublattice model



✓ Possibilité de décrire des liquides métalliques et non métalliques (oxydes, sulfures, chlorures ...)

**2** sous-réseaux 
$$(C_i^{ci+})_P (A_j^{aj-}, Va^{-Q}, B_k^{0})_Q$$

cation anions lacunes espèces neutres

Introduction de lacunes chargées (-Q) pour décrire le liquide métallique (C<sub>i</sub><sup>ci+</sup>)<sub>P</sub> (Va<sup>-Q</sup>)<sub>Q</sub>

La charge des lacunes (-Q) varie avec la composition pour maintenir la relation d'eléctroneutralité

$$P = \sum a_j y_{A_j} + Q y_{Va}$$
$$Q = \sum c_i y_{C_i}$$

L'ordre à courte distance est pris en compte par l'introduction d'espèces chargées

Exemple:  $(Ba^{+2})(MoO_4^{-2})$ 

[Hillert et al, Metall. Trans. A16 (1985) 261]

cea





## **Exemple: Système U-Zr-O**

➡ Model (U<sup>+4</sup>,Zr<sup>+4</sup>)<sub>P</sub>(O<sup>-2</sup>,Va<sup>-Q</sup>,O)<sub>Q</sub>





Journée de prospective sur la physicochimie des liquides à Haute Températures 29 Novembre 2024, Paris

# Using machine learning to study liquids

### **Guillaume Deffrennes**

CNRS, Univ. Grenoble Alpes, Grenoble INP, laboratoire SIMaP











## Case study of the enthalpy of mixing in the liquid

- ... essential for modeling the stability of liquids
- ... used in empirical rules, e.g., to design metallic glasses Takeuchi *et al.*, Mater. Trans., 46 (2005)
- ... correlated to phase diagrams

 $H_{mix}^{LIQ}$  is :

Miedema et al., Physica 100B (1980)





## **Extrapolation from binary to multicomponent liquids**



 $\rightarrow$  Focus on binary liquids

### **Predictions in binary liquids from Miedema's model**



- What accuracy can be expected from Miedema's model?
- Can we correct it using machine learning?

### **Data collection**



- Review of ~1000 Calphad assessments
- Reliable data found in 375 binary systems

### Dataset



#### Réel Miedema = f<sub>ML</sub> (features) mix



#### **Physico/chemical properties**

Molar volume (at 300K) Thermal conductivity (at 300K) Density (at 300K)

#### **Periodic table**



Atomic number Mendeleev number Row Column



#### **Electronic properties**

Valence electron Unfilled valence orbitals First ionization energy Polarizability Electronegativity

#### **Thermodynamic properties**



Boiling point Melting point Heat of vaporization Enthalpy and entropy of fusion (at Tm) Enthalpy and entropy of liquid (at Tm) Enthalpy and entropy of solid (at Tm) Heat capacity of solid, liquid and fusion (at Tm) Heat capacity and entropy (at 300K)



Atomic weight Atomic radius Covalent radius

Features obtained from the composition weighted properties of the pure elements



### **Feature importance**



#### Can be useful to establish empirical laws

e.g.: Vazquez et al., npj comput. mater. 68 (2023)

8

AD: average deviation

### Performances



## Performances





### Predictions in 2415 binary liquids between 70 elements

Deffrennes et al., Calphad 87 (2024)



Maximum variance of the Gaussian Process model (kJ/mol)<sup>2</sup>

Where is new data needed the most?  $\rightarrow$  Active learning

> Work of Ouentin Bizot (post-doc) Grenoble Alpes



**Gaussian Process** 





### Clustering for a better understanding

- Column (mean & avg. deviation)
- C<sub>p,liq</sub> (mean & avg. deviation)

#### • C<sub>p,fus</sub> (avg. deviation)

- H<sub>fus</sub> fus (avg. deviation)
- S<sub>fus</sub> fus (mean & avg. deviation)
- $1^{st}$  ion. energy (avg. deviation)
- Density (avg. deviation)

#### Orange & Yellow (Similar groups)

K-means on 10 Features

- Some data
- High accuracy

#### Green & Red (Different groups)

- Some data
- Low accuracy due to SRO

#### Purple & Blue (Refractory elements)

- Little data
- Low accuracy









Maximum variance of the Gaussian Process model (kJ/mol)<sup>2</sup>

### Data acquisition for refractory alloys

(work in progress)

#### Ab initio Molecular Dynamics



**Ir-Si** (equimolar)

## Conclusion

Machine learning can help with:

- Estimations
- Data acquisition
- Reasoning and establishing empirical laws
- ... and more !

# Thank you for your attention !

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# **Conclusion & Outlook**

#### Calphad is a success story since 1970

- Large multicomponent databases available (commercial / public)
- Large variety of applications
- Base of ICME

### The future

- Third generation description & two-state model
- Integration of DFT / AIMD generated data
- New insights by ML / AI
- Still (desperately) needed : high temperature phase equilibria data & experimental thermodynamic properties
- Challenges
  - Modeling Metal Oxide / Salt / ... equilibria & phase diagrams
  - Extrapolation to high temperatures & pressure dependence of condensed phases



