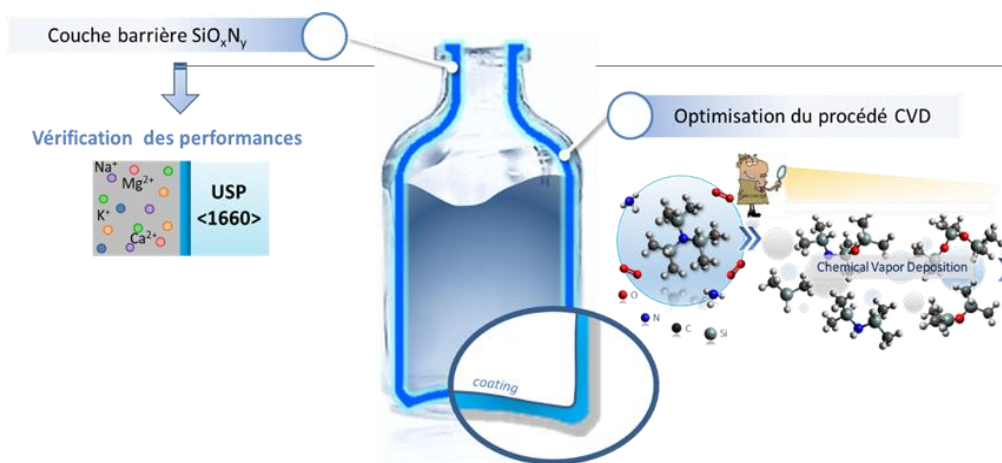


# Surface des verres et durabilité chimique

Farah Inoubli<sup>1</sup>, Babacar Diallo<sup>1</sup>, Thierry Sauvage<sup>1</sup>, Cécile Genevois<sup>1</sup>, Emmanuel Véron<sup>1</sup>, Raphael Laloo<sup>2</sup>, Viviane Turq<sup>2</sup>, Nadia pellerin<sup>1</sup>



<sup>1</sup> CEMHTI-CNRS, Université d'Orléans France

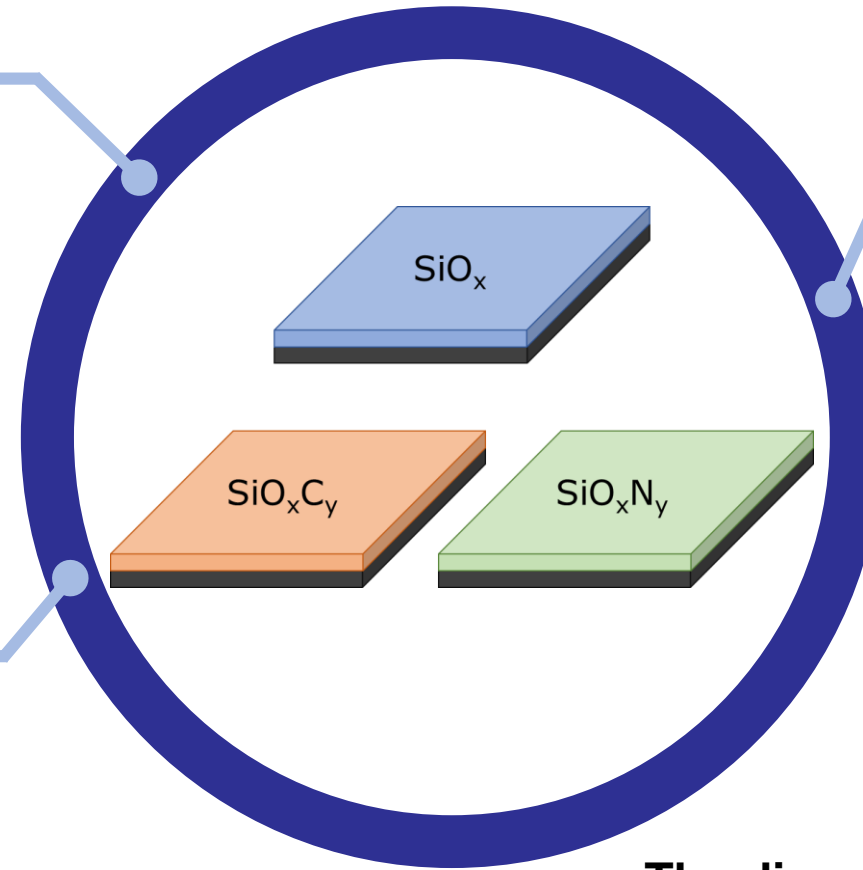
<sup>2</sup> CIRIMAT UPS, Toulouse France

## Processable by :

- Sol-gel
- PVD
- CVD

## Tunable properties :

- Optical
- Mechanical : high fracture toughness
- Electrical : high dielectric constant
- Biocompatibility
- Chemical and thermal stability, corrosion resistance



## Applied as :

- Anti-wear coatings
- Ion-diffusion barrier (Na,B) in LED
- Low water vapor transmission rate : food packing, electronic devices
- Gas diffusion barrier on various plastic packaging materials
- Encapsulation of carbon dots
- Optical waveguides
- Structural layers for MEMS

## The dissolution rates in pure water

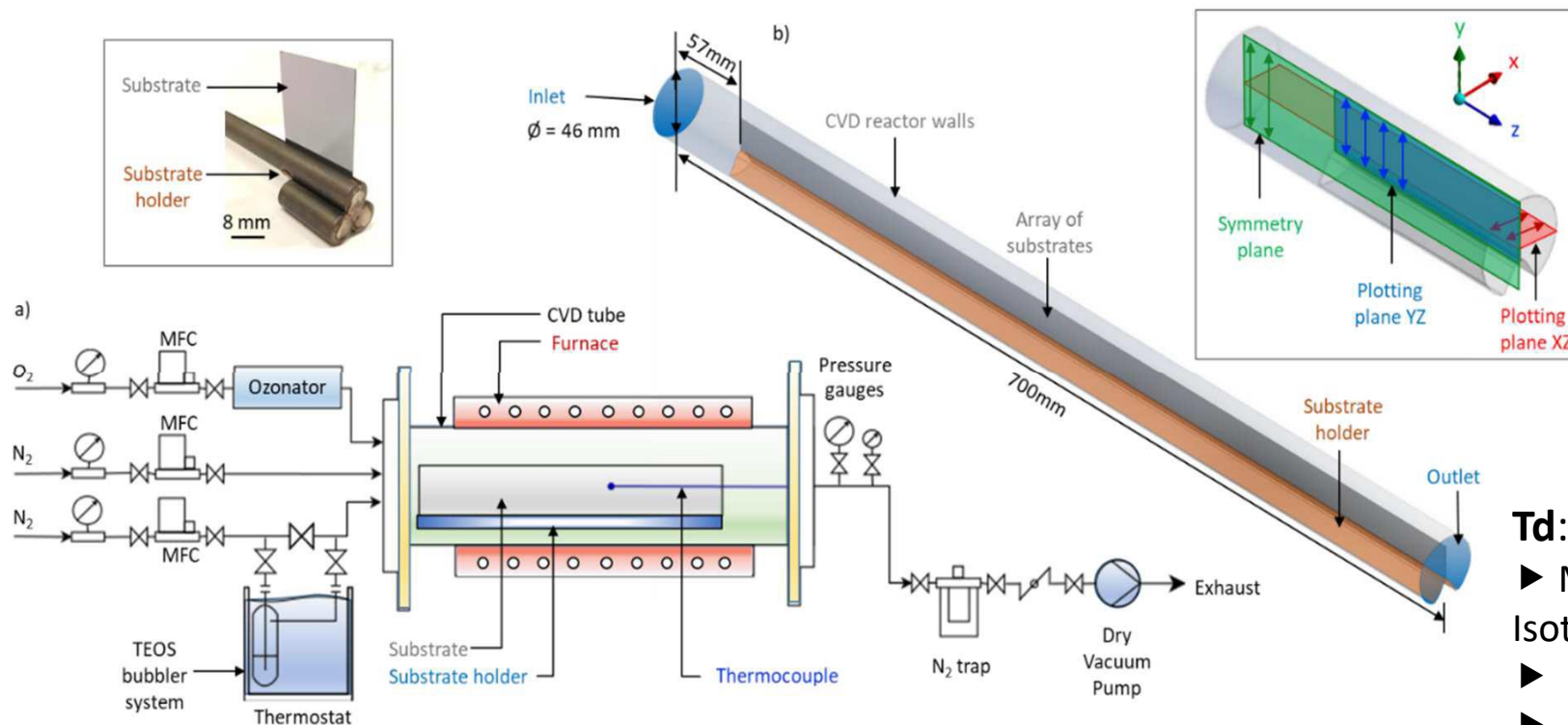
Quartz

 $4.2 \cdot 10^{-14}$ 

Amorphous silica

 $9.0 \cdot 10^{-13}$  $\text{mol/m}^2 \text{ s}$ Solubility stays very low for  $\text{pH} < 9$

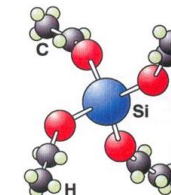
CVD reactor



**Oxygen-Ozone O<sub>2</sub>/O<sub>3</sub> mixture** assisted atmospheric pressure Chemical Vapor Deposition (CVD) from tetraethyl orthosilicate **Si(OC<sub>2</sub>H<sub>5</sub>)<sub>4</sub>, TEOS precursor**

**flow rates :**

TEOS : 2 sccm ; O<sub>2</sub>/O<sub>3</sub> 1960 sccm  
 O<sub>3</sub> concentration of 60 mg/L  
 sccm: *standard cubic centimeters per minute*



**Td: Deposition Temperature**

- ▶ Measured at the middle of the reactor
- Isothermal region : **140 mm** long from 360 to 500 mm
- ▶ Td between **320** and **550 °C**
- ▶ Deposition time of 30 min: film thickness of  $\cong$  100 nm

**SUBSTRATE**

**monocrystalline silicon** (100)

wafers, 280  $\mu$ m thick, 32x24 mm<sup>2</sup>

**Glass plate**

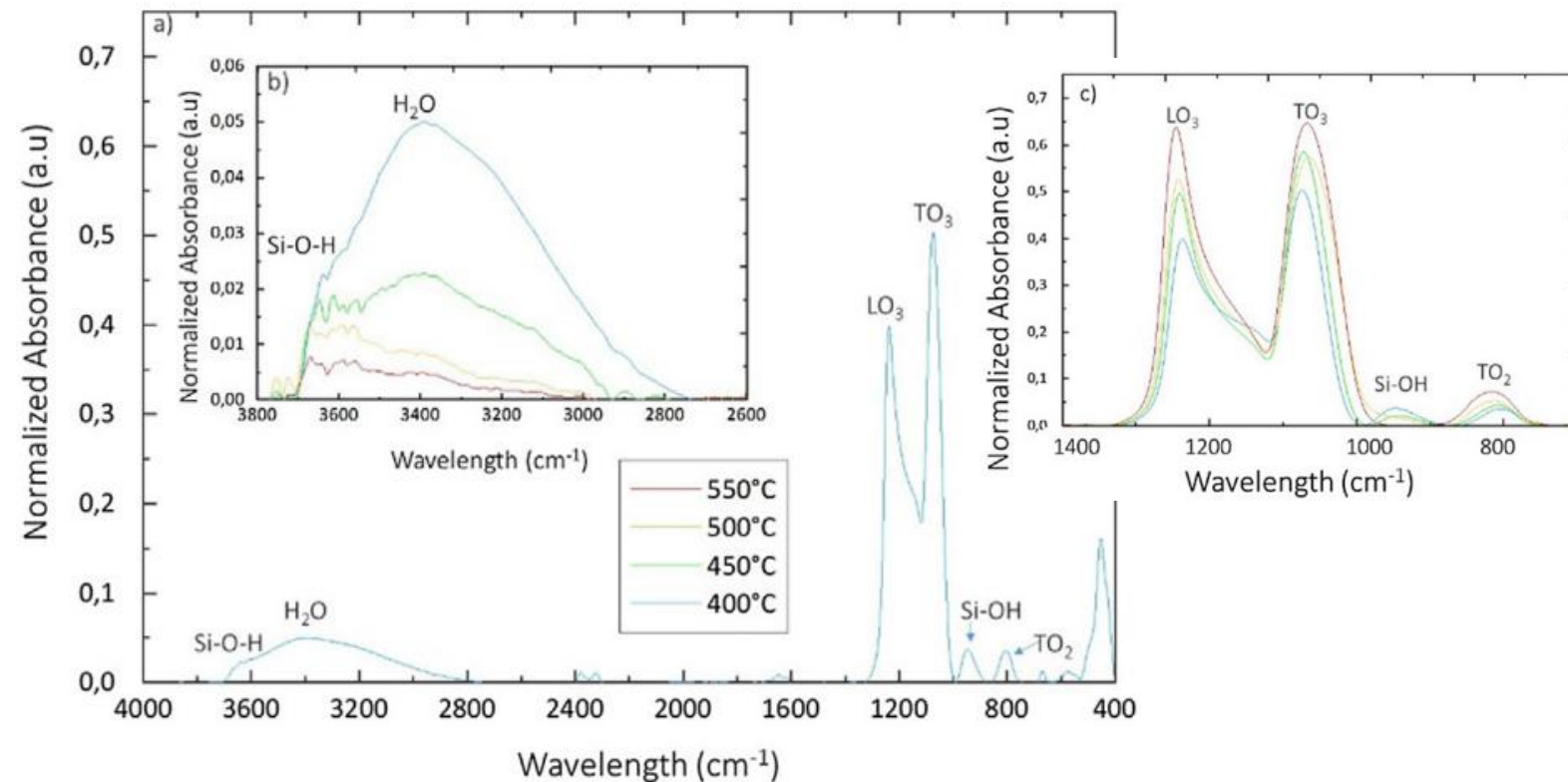
**Glass vial**

**Numerical simulations (FLUENT®)**

- ▶ Apparent chemical reactions and kinetic laws at atmospheric pressure
- ▶ Computational Fluid Dynamics (CFD) codes

*K.C. Topka et al, Chemical Engineering Research and Design, 161:2020, 146-158*





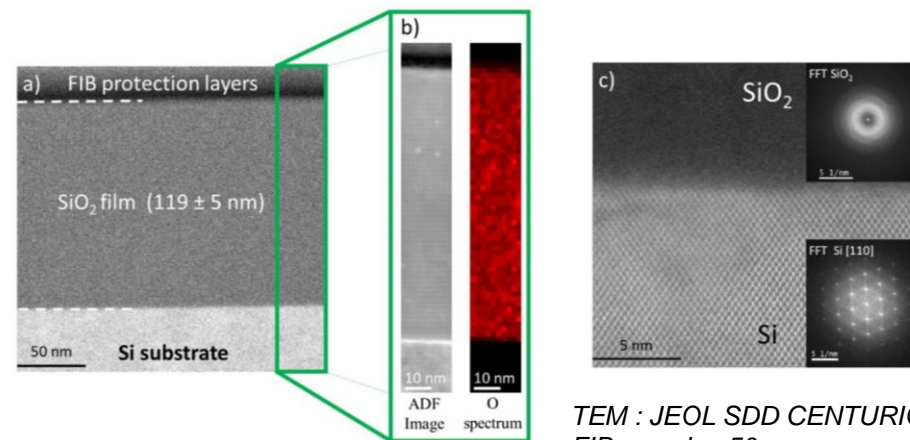
**Si – O – Si bond :**

- 480  $\text{cm}^{-1}$  : rocking mode  $\text{TO}_1$
- 780  $\text{cm}^{-1}$  : bending mode  $\text{TO}_2$
- 1050  $\text{cm}^{-1}$  : asymmetric stretching mode  $\text{TO}_3$  and  $\text{LO}_3$

**Adsorbed water and silanols :**

- 940, 1600  $\text{cm}^{-1}$
- 2600 – 3800  $\text{cm}^{-1}$
- 3200, 3400  $\text{cm}^{-1}$  : symmetric and asymmetric OH stretching in  $\text{H}_2\text{O}$
- 3550, 3600, 3650  $\text{cm}^{-1}$  : OH stretching of silanols

a) STEM-HAADF image  
 b) ADF: Annular Dark Field image and oxygen spectrum obtained from EELS spectroscopy (STEM)



TEM : JEOL SDD CENTURIO  
 FIB sample : 50 nm

**When Td ↗**

- ↗  $\text{TO}_2$  band, shift  
 ➔ ↗ medium range order, connectivity
- ↗  $\text{TO}_3/\text{LO}_3$  and shift  
 ➔ ↗ polymerisation
- ↘ Si-OH mode 940  $\text{cm}^{-1}$
- ↘ high  $\nu$  band ( $\text{H}_2\text{O}$  steeper than Si-OH)

► Network polymerisation : enhanced at high Td through a dehydration-condensation mechanism.

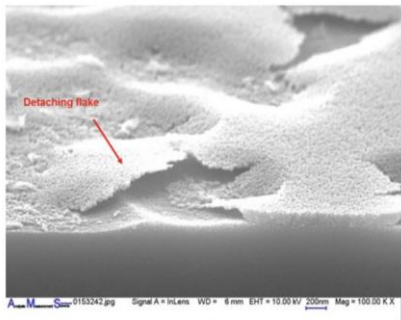
- STEM image : very homogeneous coating with no specific defect, texture or nanoporosity
- ADF, EELS : chemical homogeneity
- Sharp interface  $\sim 8$  nm; amorphous nature of silica

B. Diallo et al, Journal of Materials Research and Technology 2021 ; 13 : 534 - 547



## Alteration protocols :

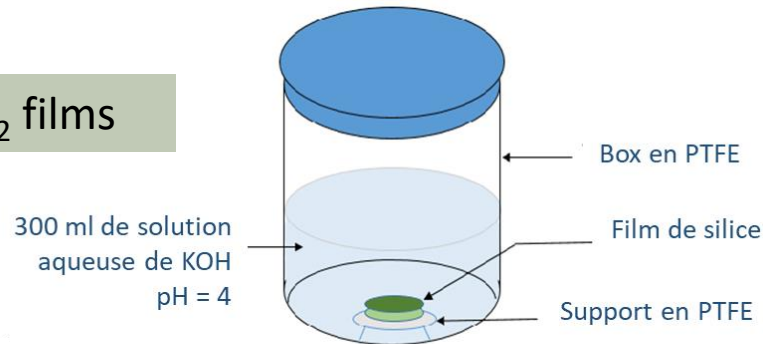
- Very fast test (15 - 60s) : P-etch / BOE test
- Short standardized tests USP (pharmacopeia)
- Classical method by immersion on the longest term at different pH and T
- Long term atmospheric conditions



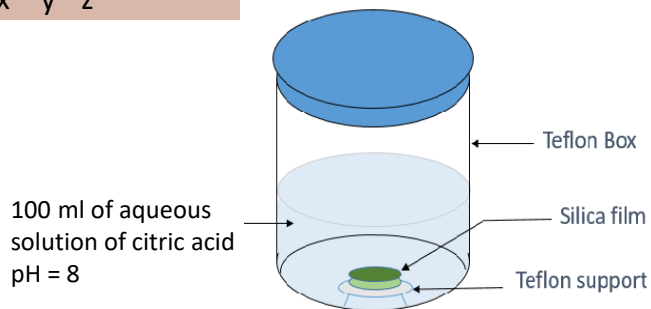
SCHOTT AG, « Glass delamination »

## ALTERATION PROCESSES

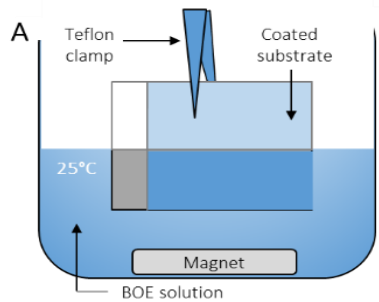
### SiO<sub>2</sub> films



### SiO<sub>x</sub>N<sub>y</sub>C<sub>z</sub> films

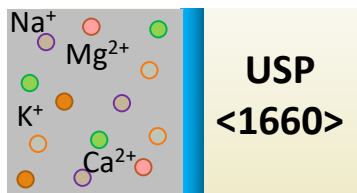


80°C  
1 month



**P-etch test** : pH 1.5 solution of 3 parts of HF, 2 parts of nitric acid and 60 parts of water  
*Pliskin J Vac Sci Technol 1977; 14:1064-81*

**BOE test** : 6 parts NH<sub>4</sub>F (40 wt %) + 1 part of HF (49 wt %)



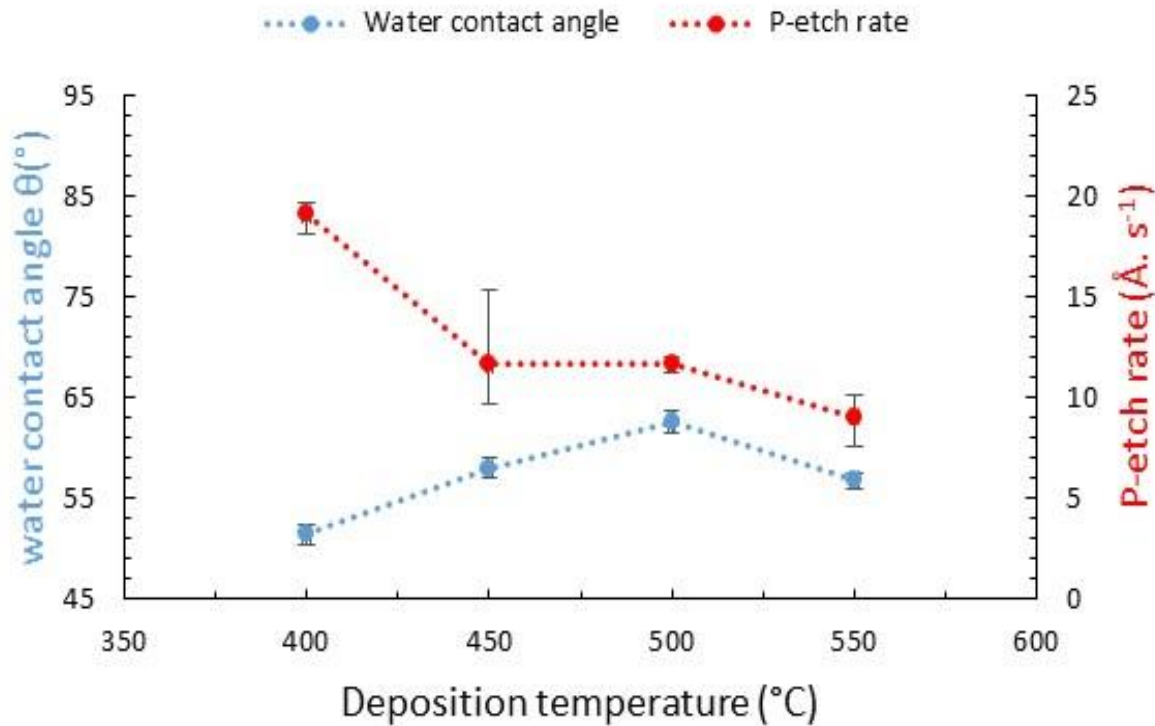
### Formulations and Conditions Used to Accelerate Delamination

Formulation	0.9% KCl pH 8.0	3% Citric Acid pH 8.0	20 mM Glycine pH 10.0
Conditions	2 h at 121°	24 h at 80°	24 h at 50°

SiO<sub>2</sub> films

SiO<sub>x</sub>N<sub>y</sub>C<sub>z</sub> films

- IBA techniques : RBS and ERDA
- IR, XPS
- TOF-SIMS
- AFM
- SEM, TEM



► Etching rate according to thickness measurements (ellipsometry)

### Water contact angle :

Confirms the hydrophilic character of the silica surface fused silica (SQ-1 SCHOTT®, hydroxyl content 1200 ppm) [54] :  $81 \pm 8^\circ$  [55].

Wettability : GBX apparatus using  $0.35 \pm 0.01 \mu\text{L}$  of distilled-water droplets

Etching in standard hydrofluoric acid (HF) solutions at **pH = 1.5**  
Immersion maintained for **30 s** at **25°C**

$$r \text{ (mol/m}^2\text{.s)} = 10^{0.48} e^{\frac{-34243}{RT}} a_{\text{HF}}^{1.5} a_{\text{H}^+}^{-0.46}$$

Empirical dissolution rate law of Mitra and Rimstidt for bulk silica

[Geochem Cosmochim Acta 2009; 73:7045-59]

where  $10^{-2.37} < a_{\text{HF}} < 10^{1.61}$  ( $a_{\text{HF}}$ : HF chemical activity),  
 $0.32 < \text{pH} < 4.76$  and  $296 < T < 343 \text{ K}$ .

P-etch rate with  $T_d$  :

At 400°C : 19 Å/s

At 450°C : 11 Å/s

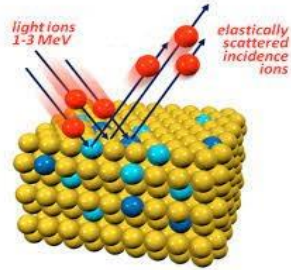
At 550°C : 9 Å/s

As processed

after annealing 2h at 550°C under  $\text{N}_2$  flow :

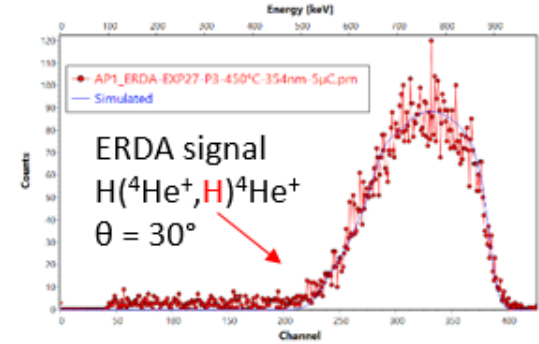
**$4.0 \pm 0.1 \text{ Å/s}$**  (in excellent agreement with Mitra law)

PELLETRON accelerator 3MV – 3 lines of beam analysis  
 ( $^4\text{He}^+ - ^3\text{He}^+ - ^2\text{H}^+ - \text{H}^+$ )

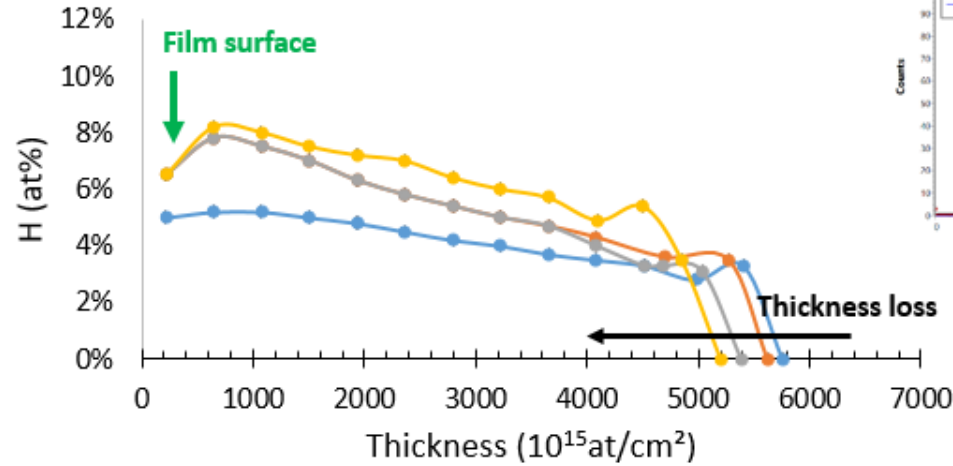


ERDA spectra evolution through alteration  
 (550°C)

ERDA depth resolution



$\delta x_H = 430 \cdot 10^{15} \text{ at/cm}^2 \approx 60 \text{ nm}$

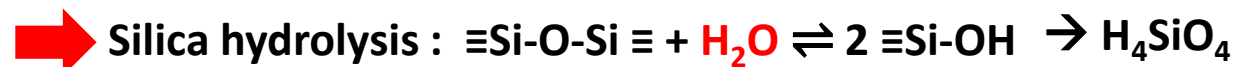
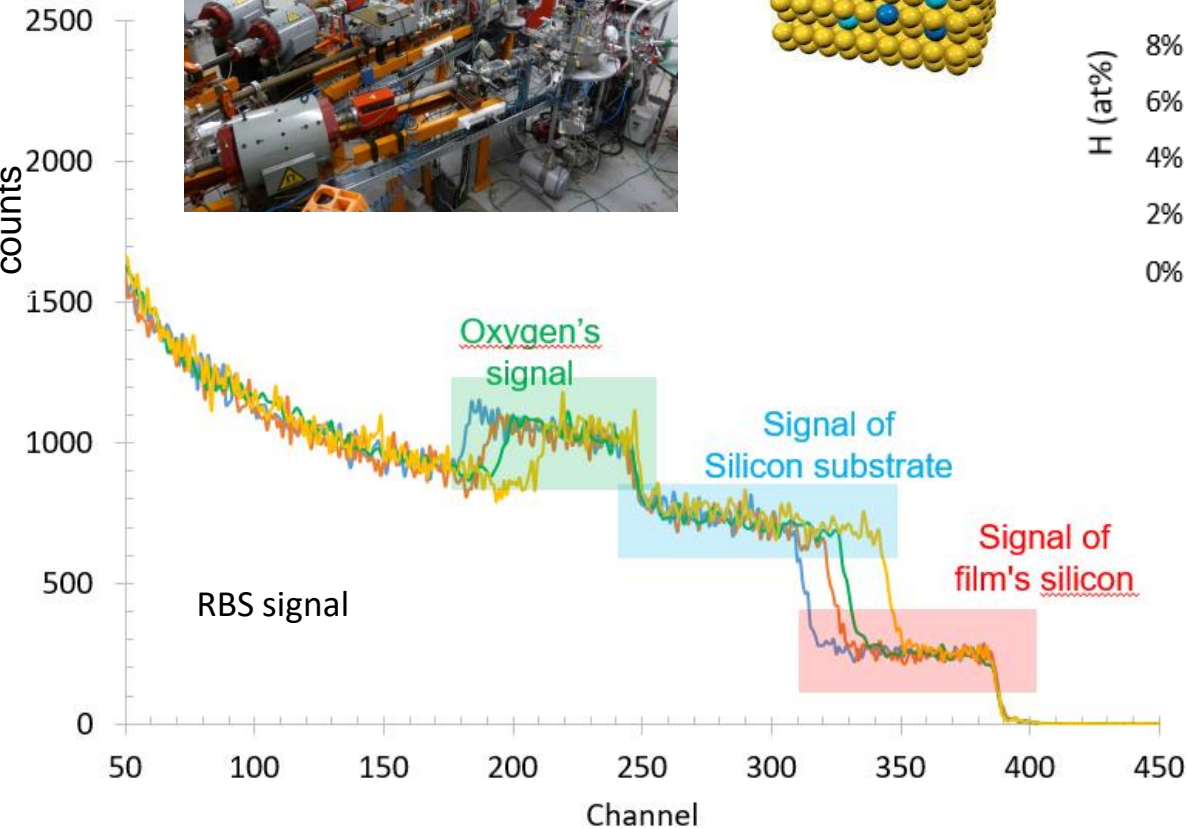


- ▶ RBS and ERDA spectra simulation by SIMNRA\*
- ▶ Film thickness in  $10^{15} \text{ at/cm}^2$  and Atomic concentration (at.%) for Si, O, H ▶ ▶ Thickness loss calculations

\*M. Mayer, American Institute of Physics Conference Proceedings 475, p. 541 (1999)



0.3 – 0.5 nm/day



# CHEMICAL ETCHING

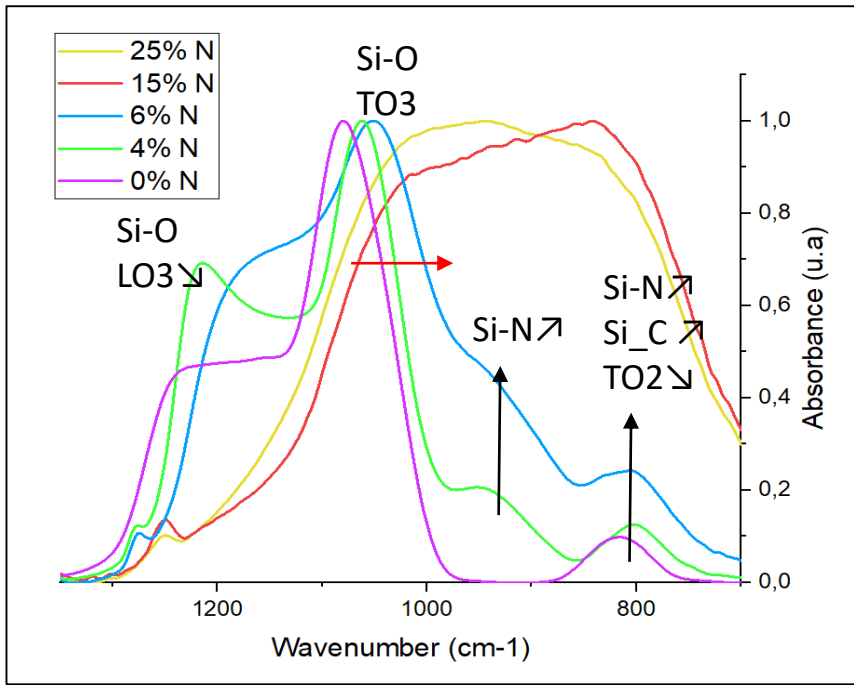
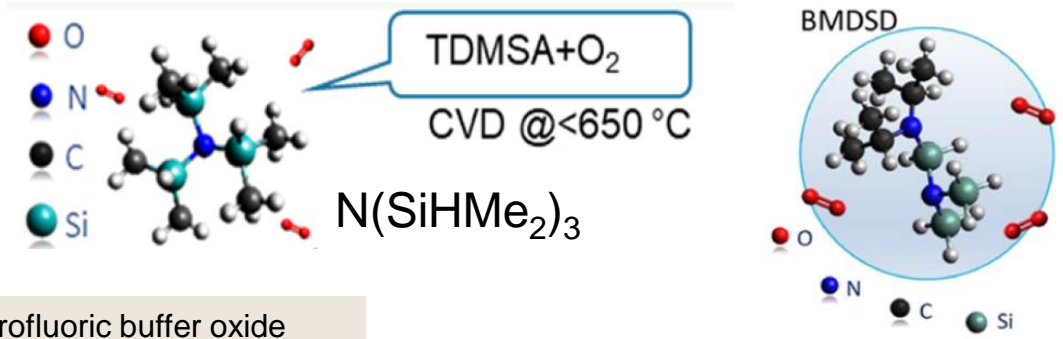
## CHEMISTRY :

Precursor **tris(dimethylsilyl)amine TDMSA**, O<sub>2</sub> and/or NH<sub>3</sub>  
 Atmospheric pressure CVD, at moderate temperature **600–650 °C**  
*State of the art: 760-820°C!! with conventional disilazane precursors*

Air Liquide Precursor **syllamine derivative BMDSD**

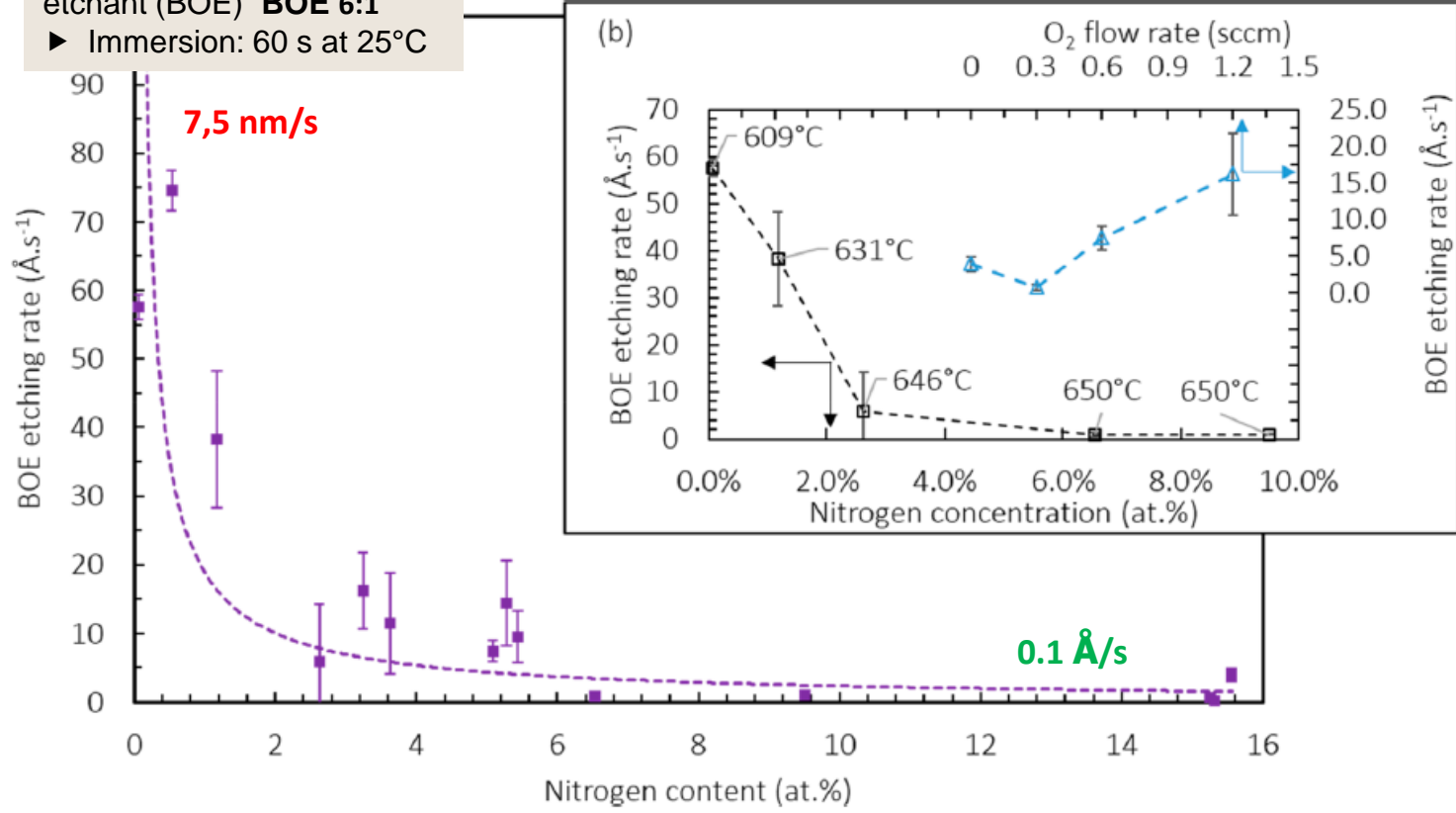
(N,N-bis(1-methylethyl)-N',N'-disilyl-silanediamine)  
 Atmospheric pressure CVD, **below 580°C**

↻ Large range of N and C (up to 30 at.%) substitution



Si – N : stretching vibration at 936 cm<sup>-1</sup>, 840 cm<sup>-1</sup>, 470 cm<sup>-1</sup>

Hydrofluoric buffer oxide etchant (BOE) **BOE 6:1**  
 ▶ Immersion: 60 s at 25°C

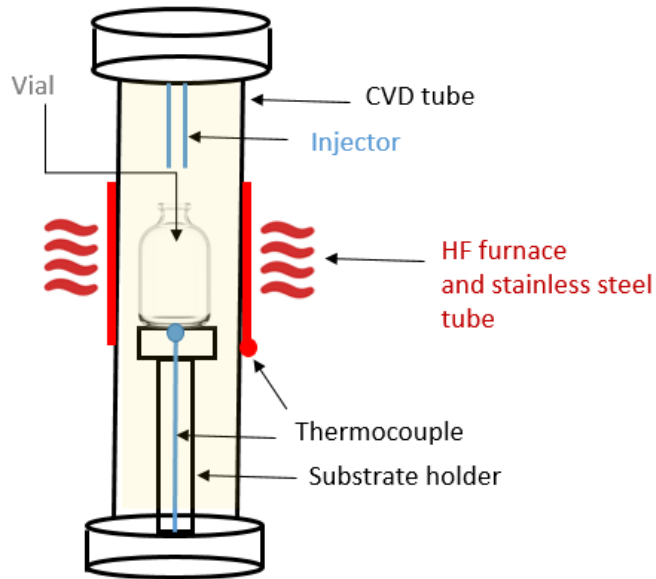
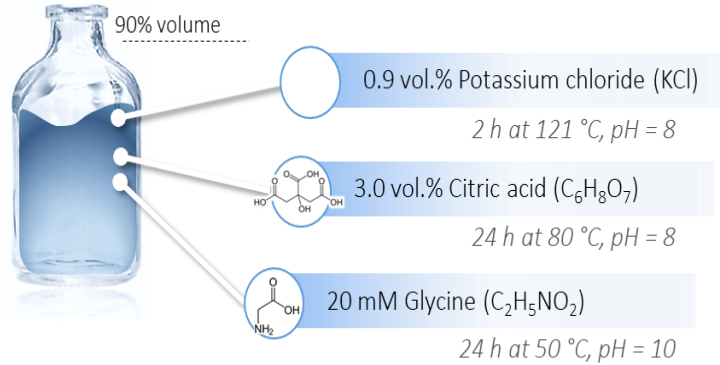


Konstantina Topka et al. ACS Applied Electronic Materials, 2022, 4 (4), pp.1741 - 1755

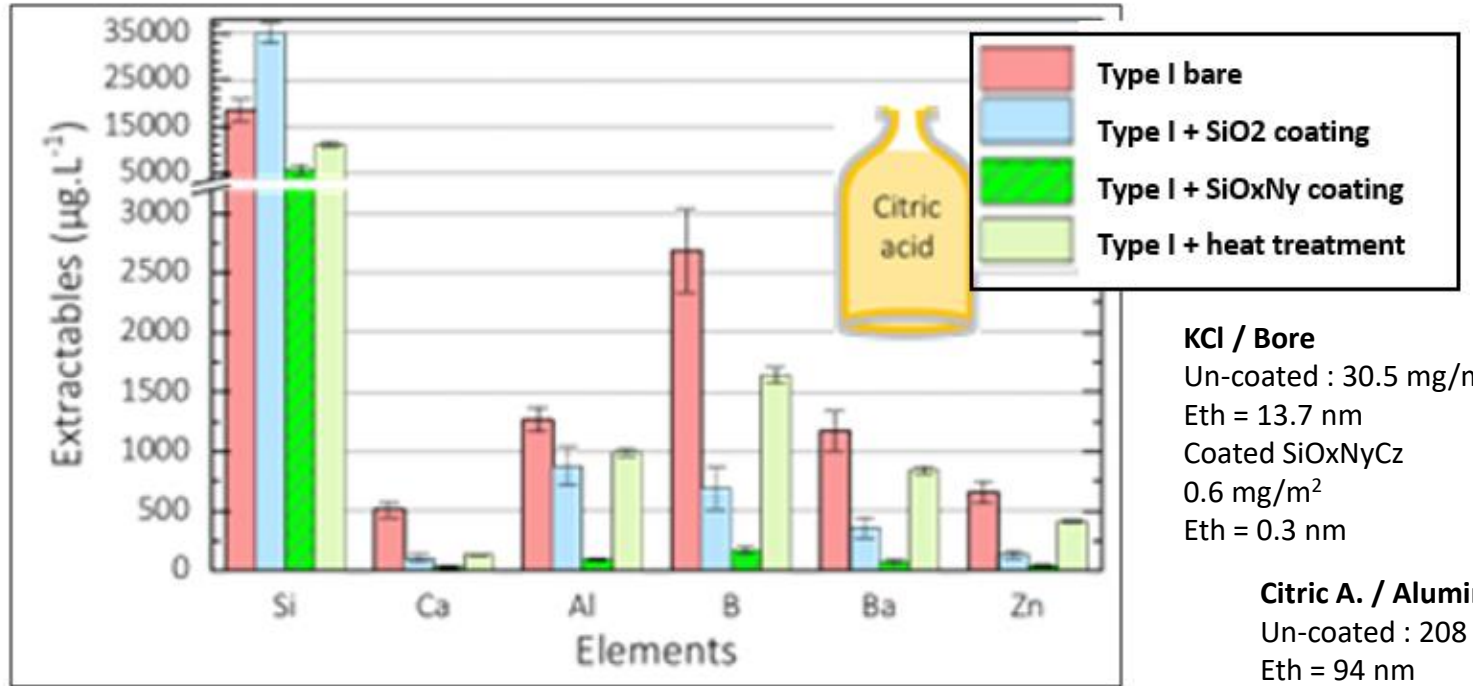


## USP : United States Pharmacopeia

The 1660 chapter suggest procedures of testing the compatibility between container and stored drug by utilizing wet corrosion tests under extreme thermal and chemical conditions that aim to replicate the prolonged exposure of the glass surface to aggressive pharmaceutical substances



## CHEMICAL ETCHING



**KCl / Bore**  
 Un-coated : 30.5 mg/m<sup>2</sup>  
 Eth = 13.7 nm  
 Coated SiO<sub>x</sub>N<sub>y</sub>Cz  
 0.6 mg/m<sup>2</sup>  
 Eth = 0.3 nm

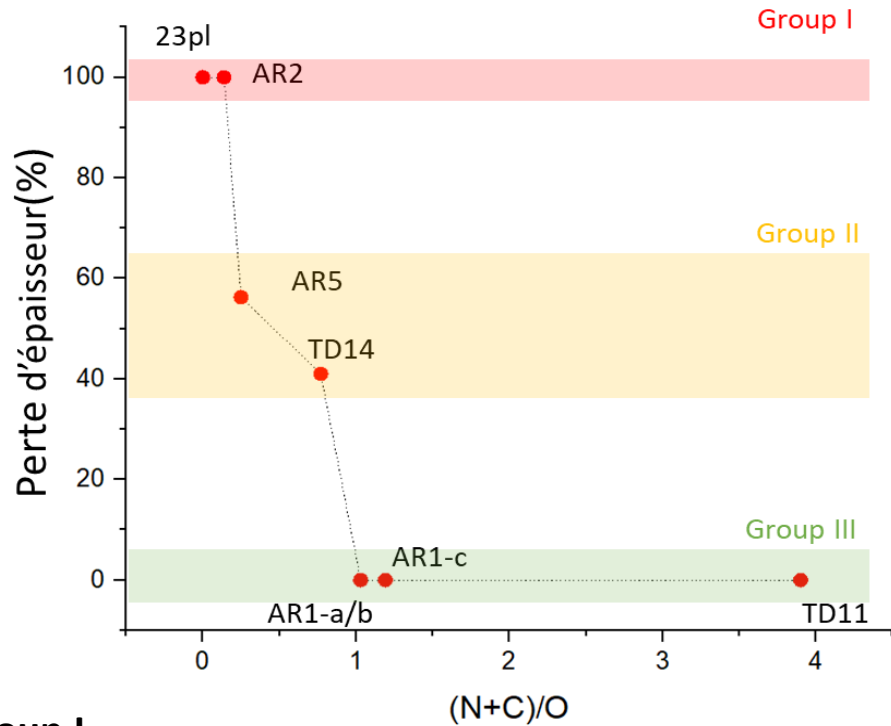
**Citric A. / Aluminium**  
 Un-coated : 208 mg/m<sup>2</sup>  
 Eth = 94 nm  
 Coated SiO<sub>x</sub>N<sub>y</sub>Cz  
 14.8 mg/m<sup>2</sup>  
 Eth = 6.6 nm

$$\text{Improvement Factor (FI)} = \frac{([\sum \text{conc}] \text{uncoated} - [\sum \text{conc}] \text{coated}) \times 100}{[\sum \text{conc}] \text{uncoated}}$$

► SiO<sub>x</sub>N<sub>y</sub> coating contributes in limiting interaction between vial vitreous matrix and solutions

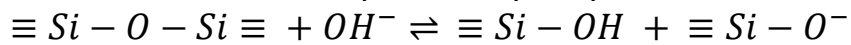
Chemical solution	Citric acid	KCl	Glycine
IF of SiO <sub>x</sub> N <sub>y</sub> coating	92%	98%	95%

K.C. Topka et al. ACS Appl. Eng. Mater. 1, 3268-3283 (2023).



**Group I**

Alteration dominates by Si-O-Si hydrolysis



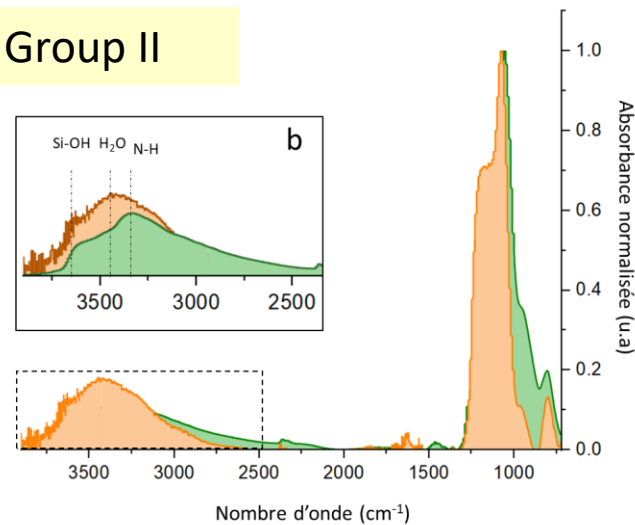
**Group II, III**

- Oxygen substitution by trivalent N and tetravalent C is more efficient: network more compact, void size reduced
- Increase of the covalent part of the chemical bond for Si-N<sup>#</sup> and Si-C\*

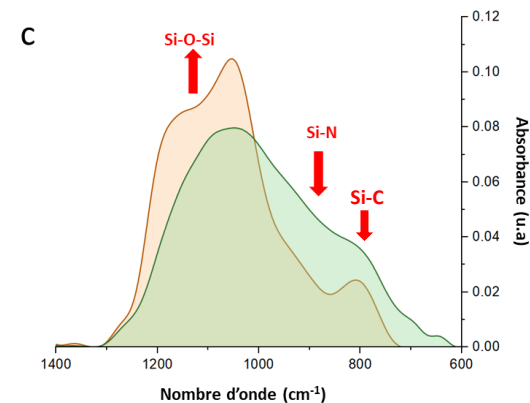
<sup>#</sup>S. Sakka, *J. Non-Cryst. Solids*, vol. 181, n° 3, p. 215-224, 1995

\*G. D. Sorarù et al, *J. Am. Ceram. Soc.*, vol. 85, n° 6, p. 1529-1536, 2002

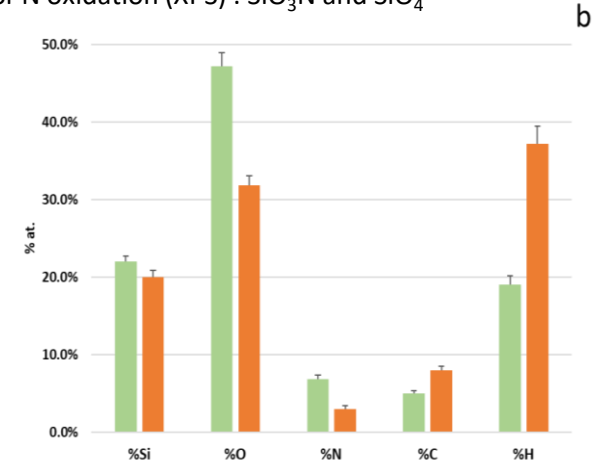
**Group II**



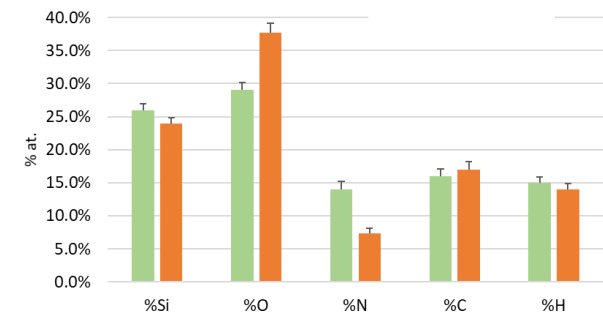
**Group III**



○ XPS : SiO<sub>4</sub> and SiO<sub>3</sub>N environments more sensitive to alteration  
 Si-N oxidation (XPS) : SiO<sub>3</sub>N and SiO<sub>4</sub>

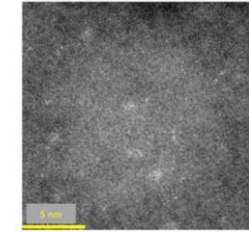


Si-N hydrolysis (XPS) : SiO<sub>3</sub>N ↗

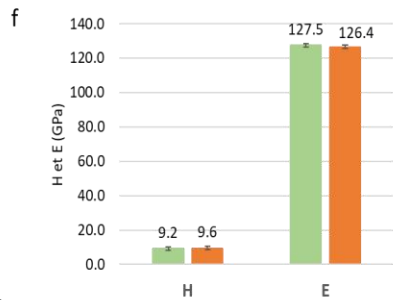
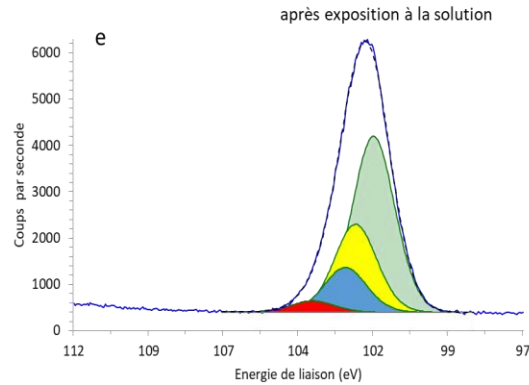
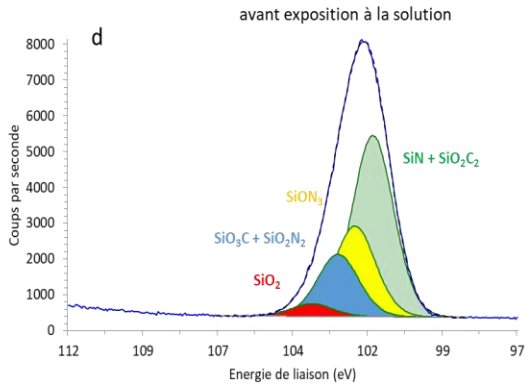
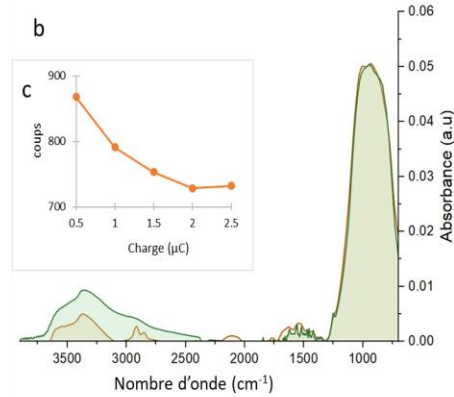
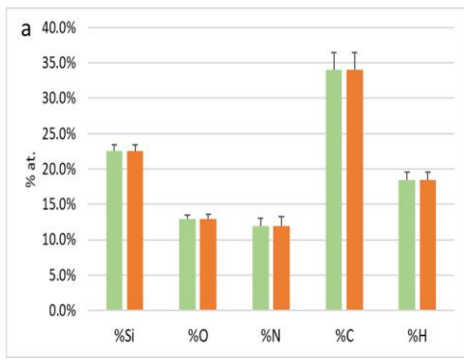


# CHEMICAL ETCHING

AR6 – N content: 27 at.%  
 Thickness loss: 31%  
 Alteration: strong loss of Si-N and Si-C, and strong oxidation



STEM-HAADF  
 Nanodomains enriched in Si or N



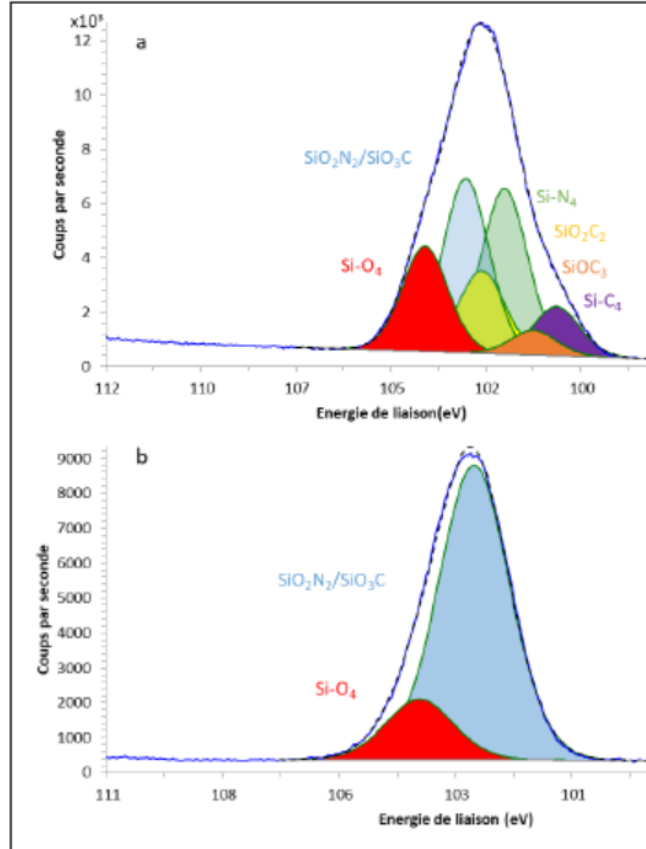
## Group III

RBM\* microstructure

**Random Binding Model**

Favors the mixing O/N/C around Si according to the five different configurations of SiO<sub>x</sub>N<sub>4-x</sub>, extrapolated to C

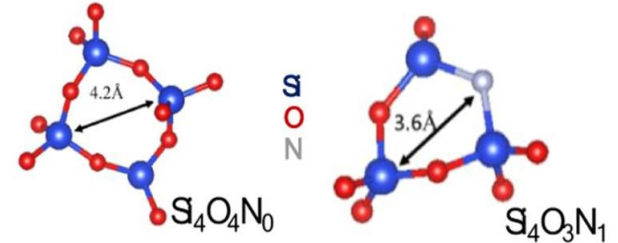
➡ Most efficient against chemical attack



RMM\* microstructure : **Random Mixture Model**  
 microdomains: randomly distributed SiO<sub>2</sub> and Si<sub>3</sub>N<sub>4</sub> - extrapolation to SiC

\*K.-M. Behrens et al, Surf. Sci., vol. 402-404, 1998

MD and DFT calculations on SiO<sub>2-x</sub>N<sub>y</sub> systems (Nmax: 12 at.%) - Box 16 Å



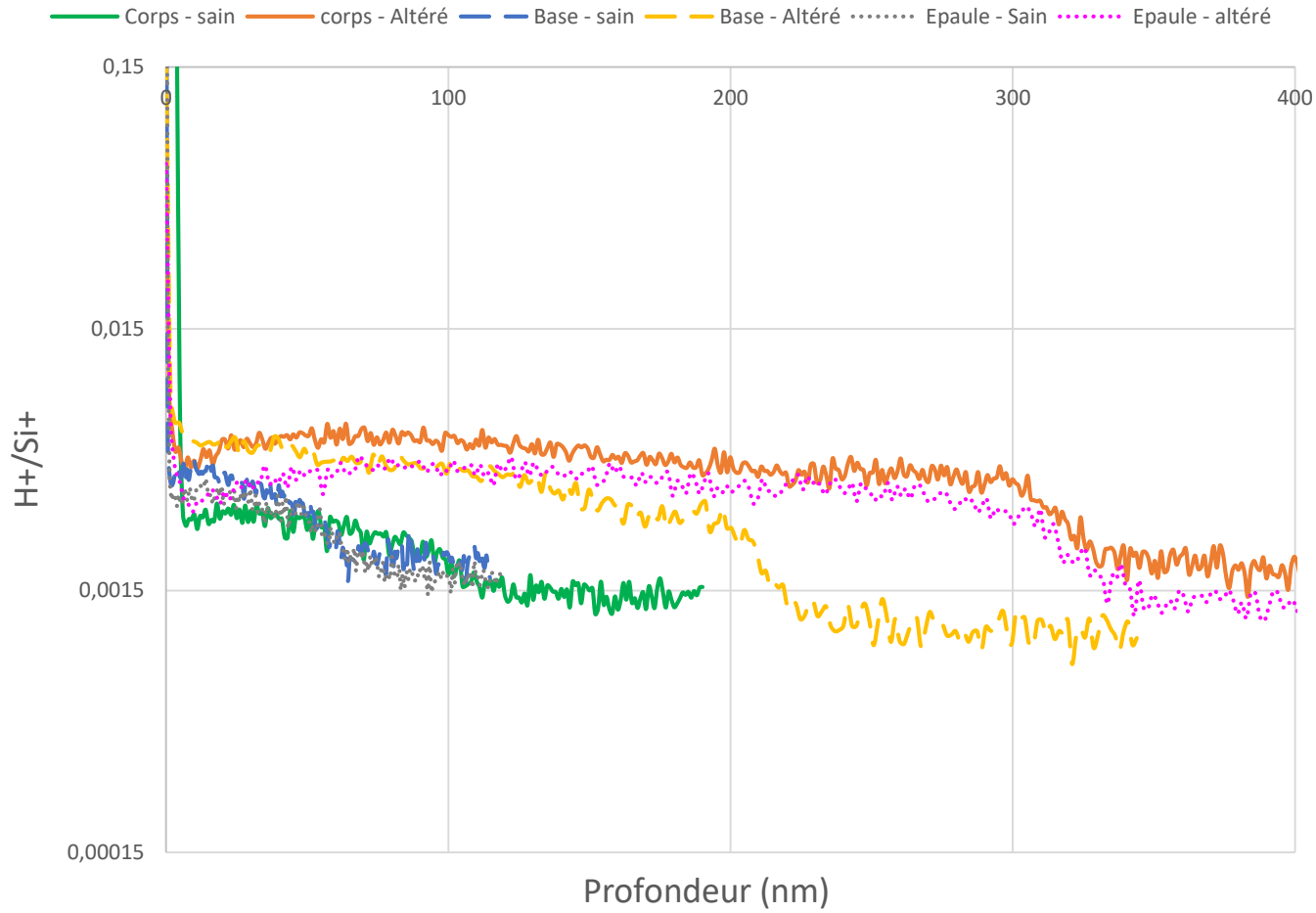
Absence of SiN<sub>4</sub>  
 SiO<sub>3</sub>N ↗ with N content  
 SiO<sub>3</sub>N is the major specie for 12 at.% N  
 N induces the ring size reduction and occupies the smallest rings  
 ↘ Si-O-Si angle : 147° → 133° (12 at.% N)

- ▶ RBM model
- ▶ Void size reduction

# CHEMICAL ETCHING

# GLASS – TYPE I

Citric Acid pH 8  
 ► Flacon non revêtu

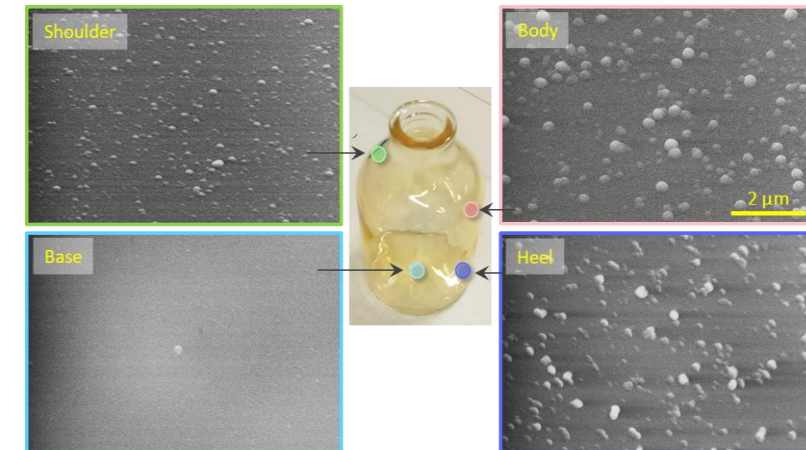


Tof-SIMS profils



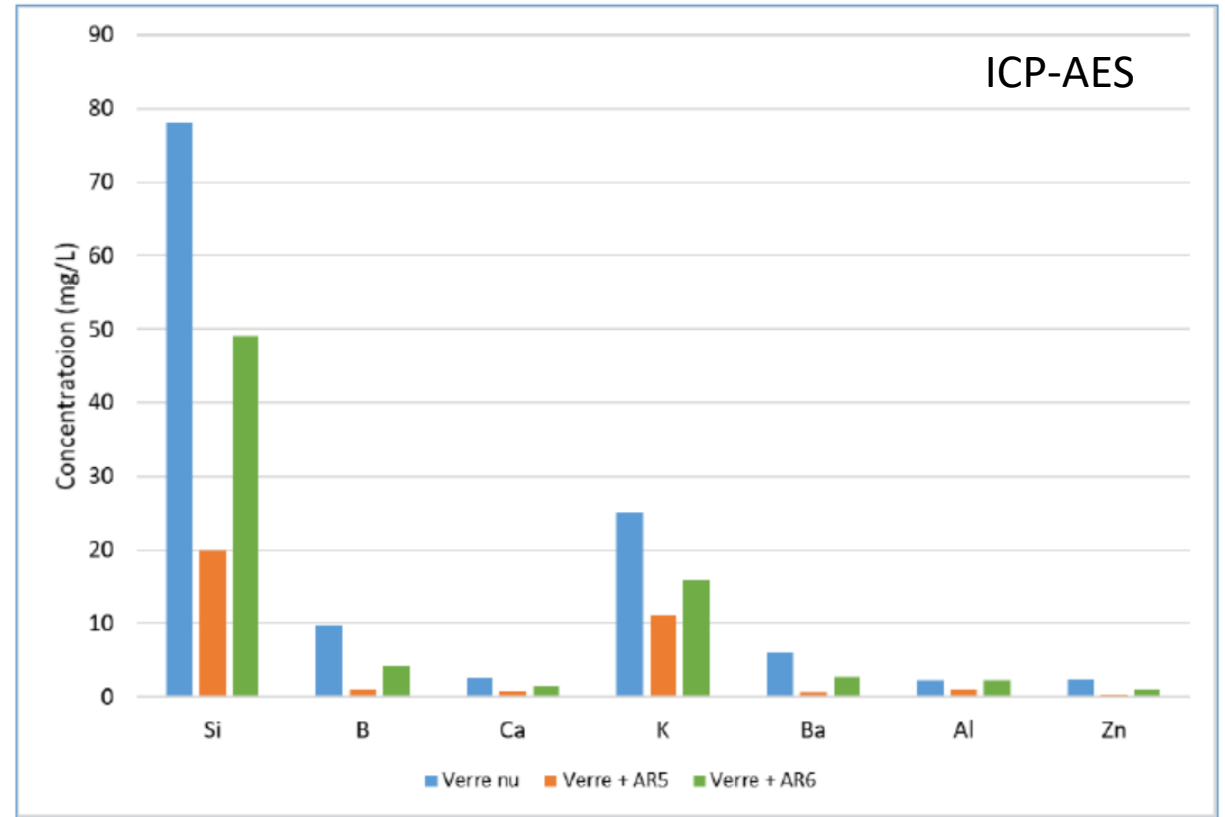
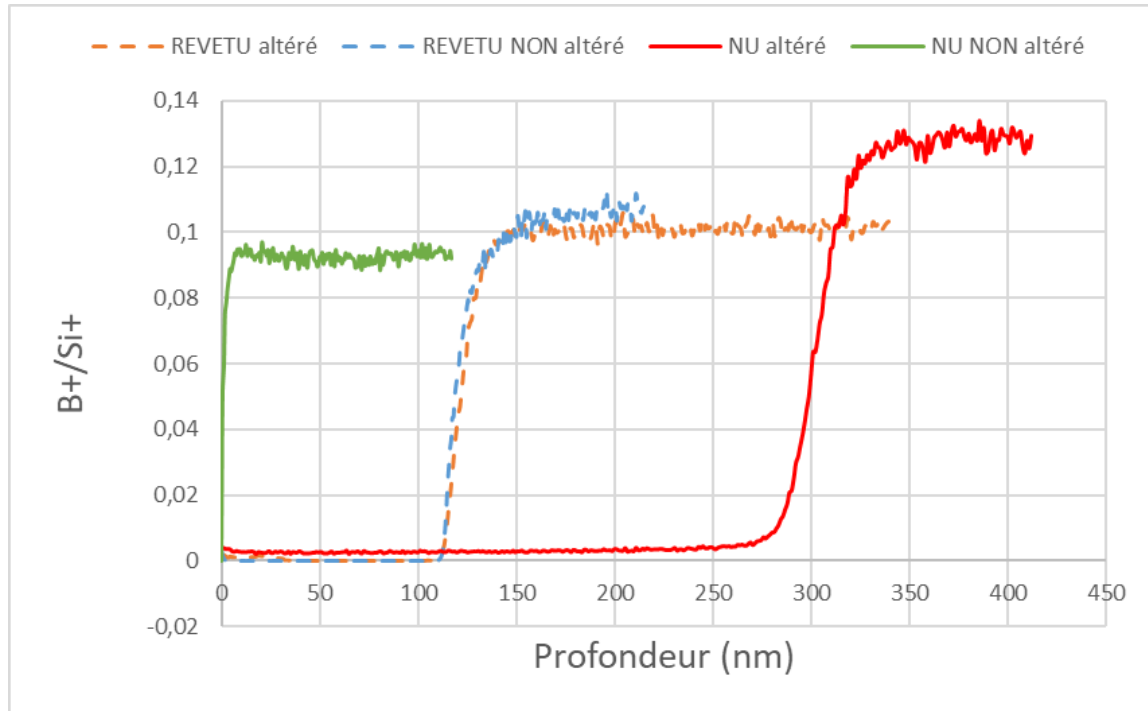
Uncoated type I glass vial

Oxide (wt %)	Clear tubing
SiO <sub>2</sub>	72.0–75.0
B <sub>2</sub> O <sub>3</sub>	10.0–11.5
Al <sub>2</sub> O <sub>3</sub>	5.0–7.0
Na <sub>2</sub> O + K <sub>2</sub> O	7.0–8.5
CaO + BaO + MgO	0.5–3.0





Citric Acid pH 8  
 ► Flacon revêtu



Group II : AR5 type RBM  
 'Group II': AR6 type RMM

Tof-SIMS profils

# CONCLUSIONS / PERSPECTIVES

## Chemical resistance of SiO<sub>x</sub>N<sub>y</sub>C<sub>z</sub> deposits

Strong impact of chemical bonding nature

Si – C > Si – N > Si – O

Strong impact of molecular species nature

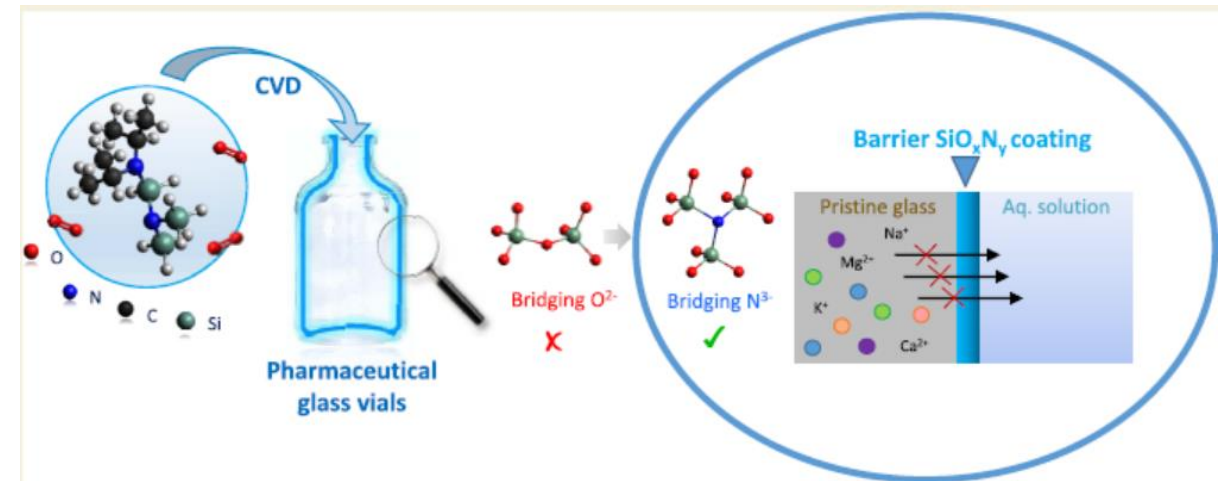
RBM > RMM

Ratio N+C/O optimisation....

TEM observation of the RMM network

## MD calculations for SiO<sub>x</sub>N<sub>y</sub>C<sub>z</sub>

## Network reticulation and chemical durability



# MERCI POUR VOTRE ATTENTION!!



Farah Inoubli, Babacar Diallo, Thierry Sauvage, Cecile Genevois,  
Emmanuel Véron, Mathieu Allix, Pierre Florian, Vincent Sarou-Kanian



Konstantina C. Topka, Hugues Vergnes, François Senocq, Diane Samelor, Marie-Joëlle Menu, Brigitte Causat,  
Constantin Vahlas



Maxime Puyo, Charlotte Lebesgue, Raphael Laloo, Viviane Turq

Pierre-Luc Etchepare



Takashi Teramoto



Guillaume Monier, Eric Tomasella



Christine Martinet, Sylvie Lefloch

