



Thin film mechanics

Stability and delamination

Etienne Barthel

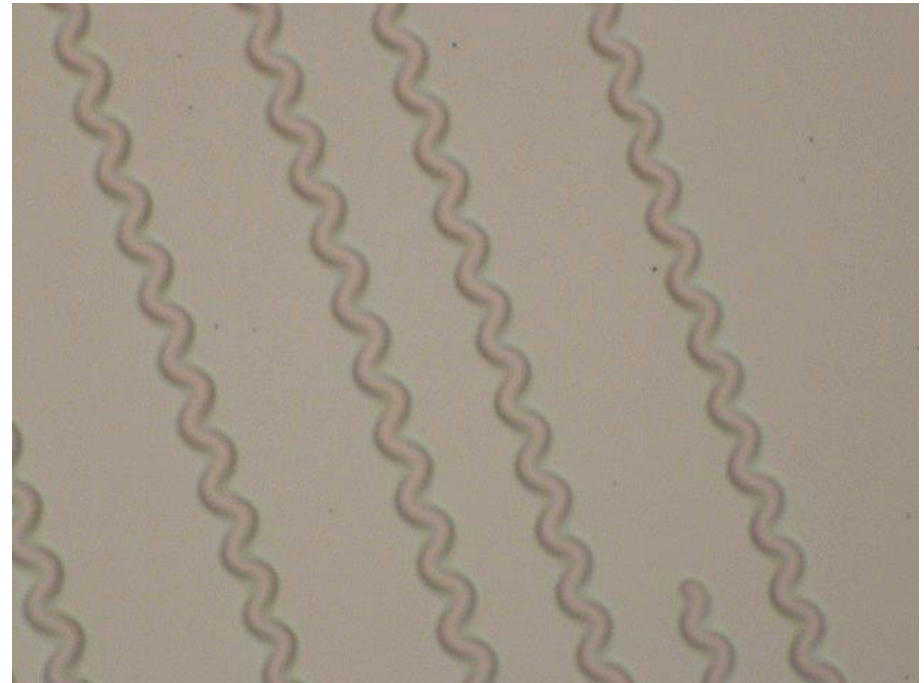
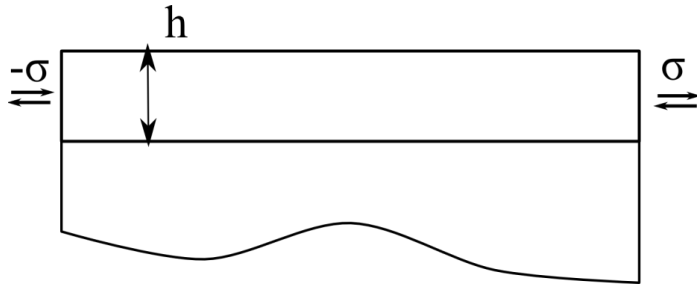


Thin film mechanics

Outline

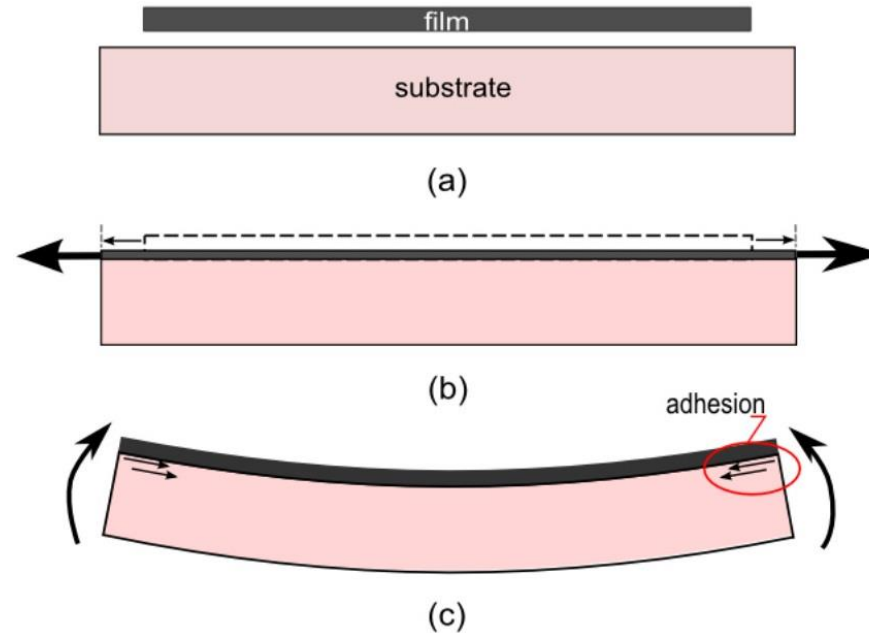
- ❑ Residual stresses in thin films
- ❑ Thin film fracture and delamination
- ❑ Thin film indentation

I – Residual stresses in thin films



Telephone cord delaminations

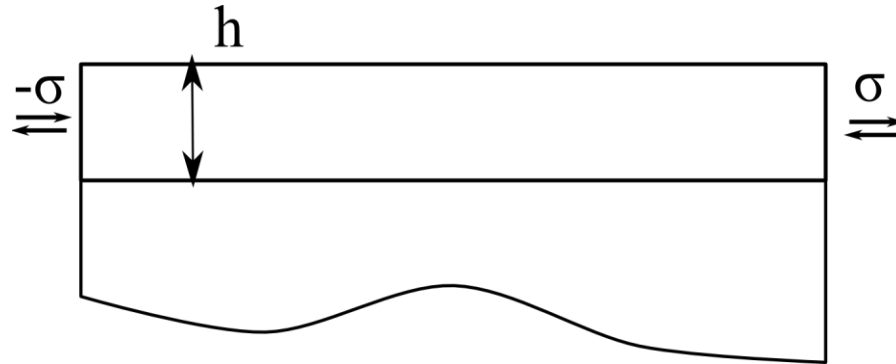
Misfit strains



Mechanisms : the misfit strains in thin films may be due to

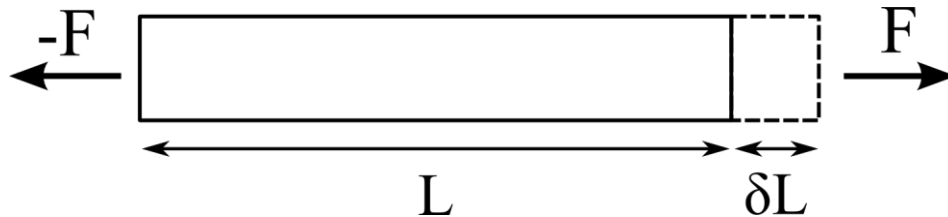
- thermal contraction
- non equilibrium deposition (atomic bombardment) or phase transformations (solidification, resin curing, martensitic transformations)
- plastic deformation (eg shot peening)

Thin films & coatings



- **Geometry: thin film = small h**
- **Mechanical responses: film/substrate contrast**
- **Loading: residual stresses**

Elasticity



$$\sigma = \frac{F}{A}$$
$$\epsilon = \frac{\delta L}{L}$$

Elastic response

- stress is linear with deformation
- E is elastic modulus

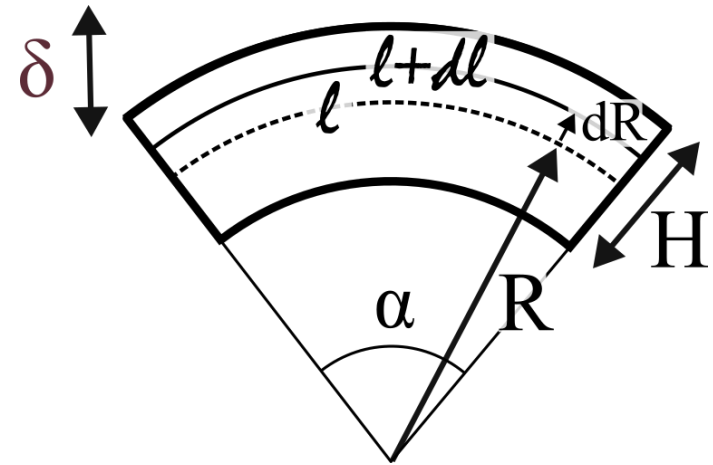
$$\sigma = E\epsilon$$

Energy density

- quadratic

$$\mathcal{E} = \frac{E\epsilon^2}{2} = \frac{\sigma^2}{2E}$$

Beam bending



$$\frac{l + dl}{l} = \frac{\alpha(R + dR)}{\alpha R} = 1 + \epsilon$$

curvature $\frac{1}{R} \simeq \frac{\delta}{l^2}$

elastic energy density $\mathcal{E} = \frac{1}{2} E \epsilon^2$

$$\mathcal{E}_{\text{tot}} \simeq ElH\epsilon^2 = ElH \left(\frac{H\delta}{l^2} \right)^2 = l EH^3 \left(\frac{\delta}{l^2} \right)^2$$

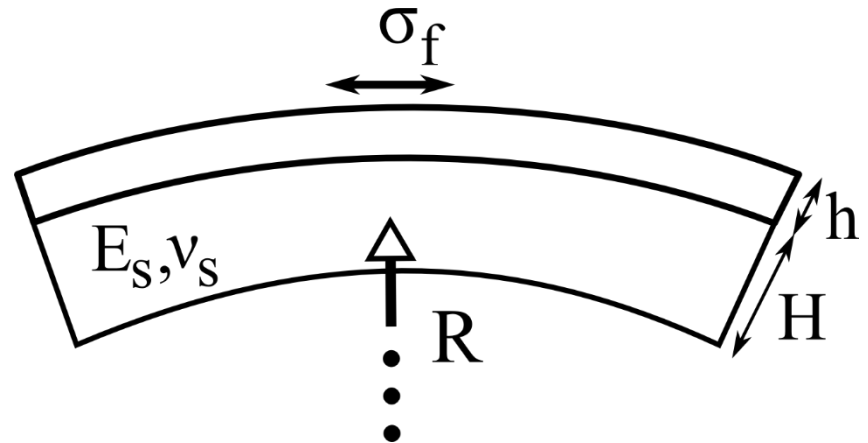
bending stiffness
curvature

Residual stresses – Stoney

$$\mathcal{E} \simeq l E_s H^3 \left(\frac{\delta}{L^2} \right)^2$$

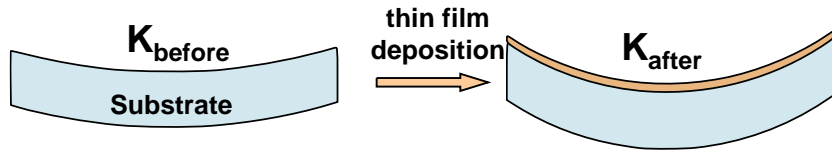
$$\mathcal{M} \simeq E_s H^3 \frac{\delta}{L^2} \simeq \frac{E_s H^3}{R}$$

$$\mathcal{M}_f \simeq \sigma_f h H$$



$$\sigma_f \simeq \frac{E_s H^2}{h R}$$

In-situ measurement of the stress



Stoney formula :

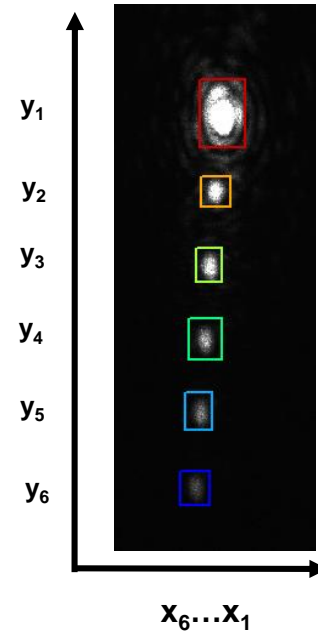
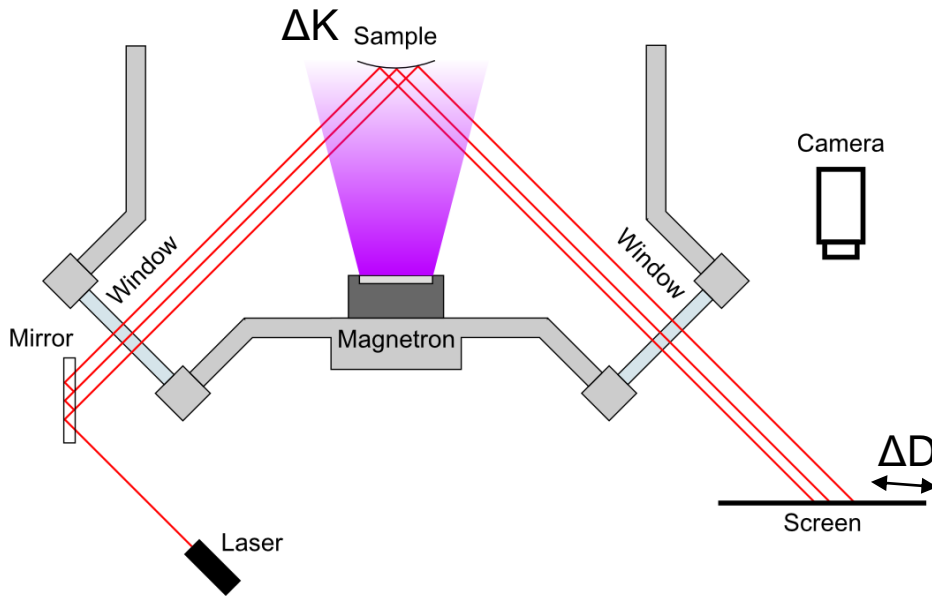
$$\Delta\sigma = (K_{\text{after}} - K_{\text{before}}) \frac{E_s}{6(1-\nu_s)} \frac{t_s^2}{t_f}$$

Relation between curvature of sample and distance between spots :

$$\kappa(t) = \frac{\cos(\alpha)}{2L} \left(1 - \frac{D(t)}{D_{\text{ref}}} \right)$$

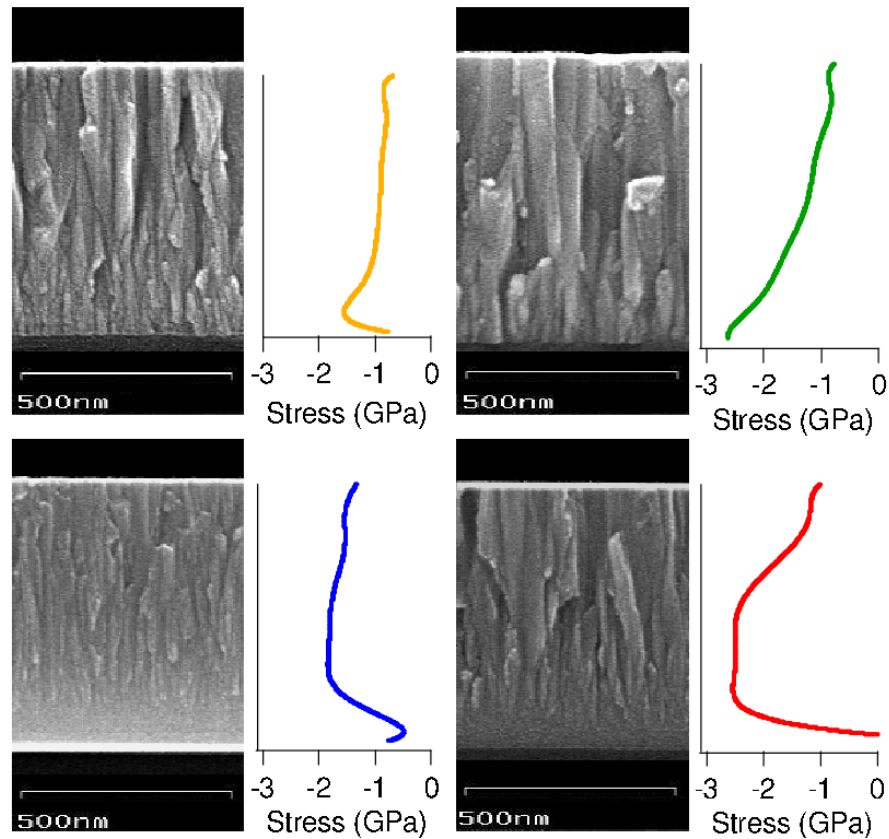
Displacement $D(t)$ of the spots is found by image correlation :

$$y_{1\dots 6}(t+1) = \frac{D(t)}{D(t=0)} y_{1\dots 6}(t)$$



Precision on curvature is $K_{\text{min}} = 6 \times 10^{-5} \text{ m}^{-1}$

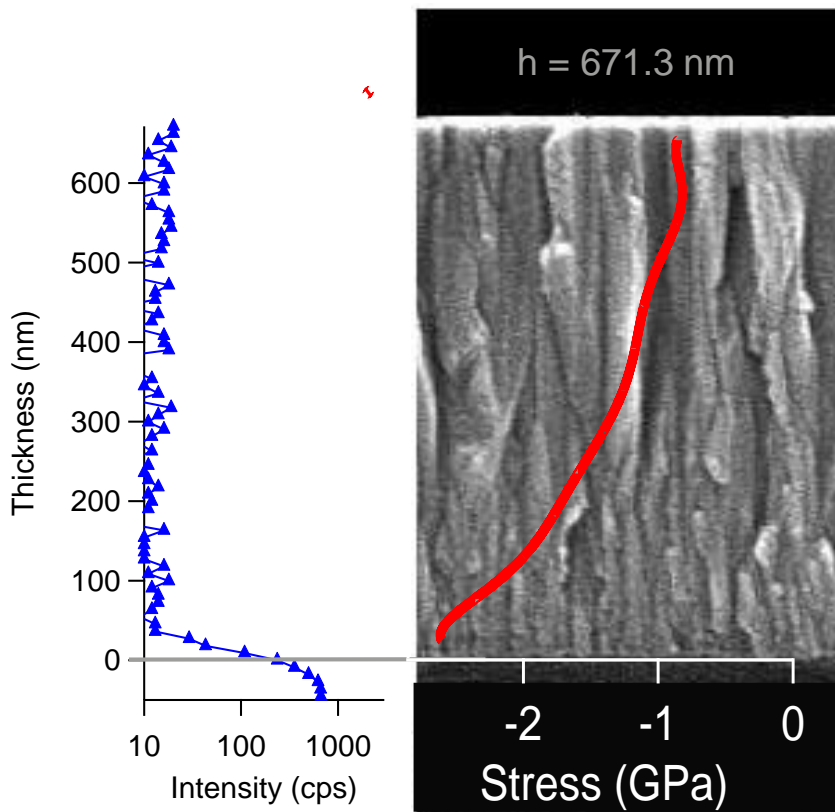
Experiments – stress in Mo layer



Faou et al. Thin Solid Films (2013) 222

Microstructure and residual stresses

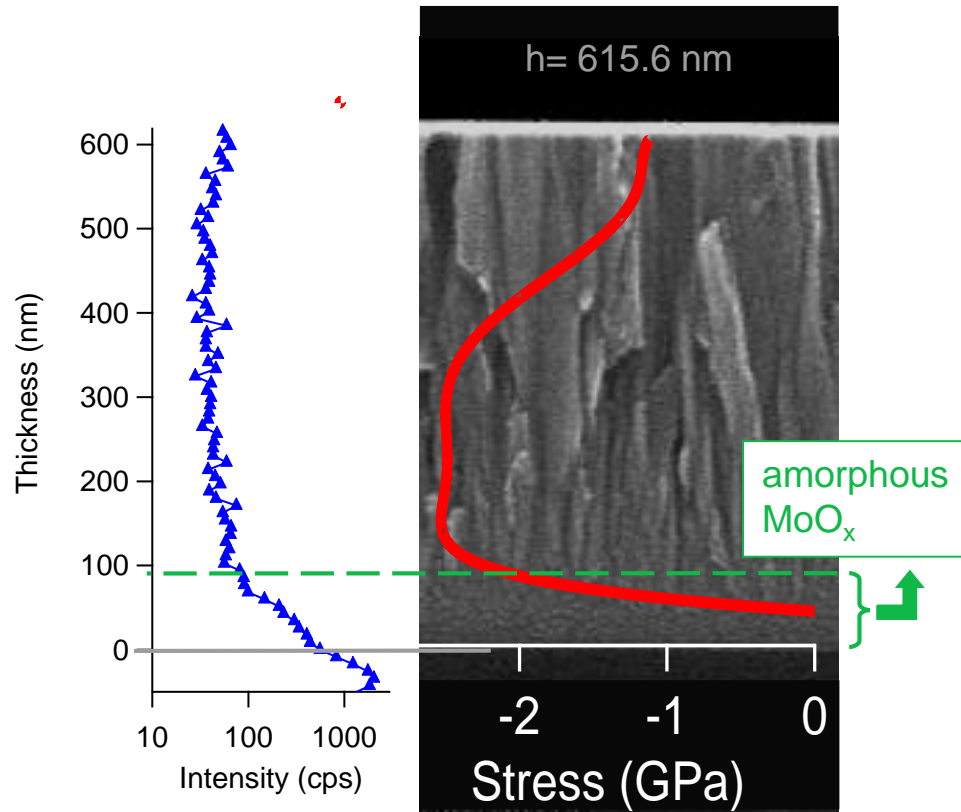
Mo, -75V



[O⁻]

Local stress

MoO_x, -75V



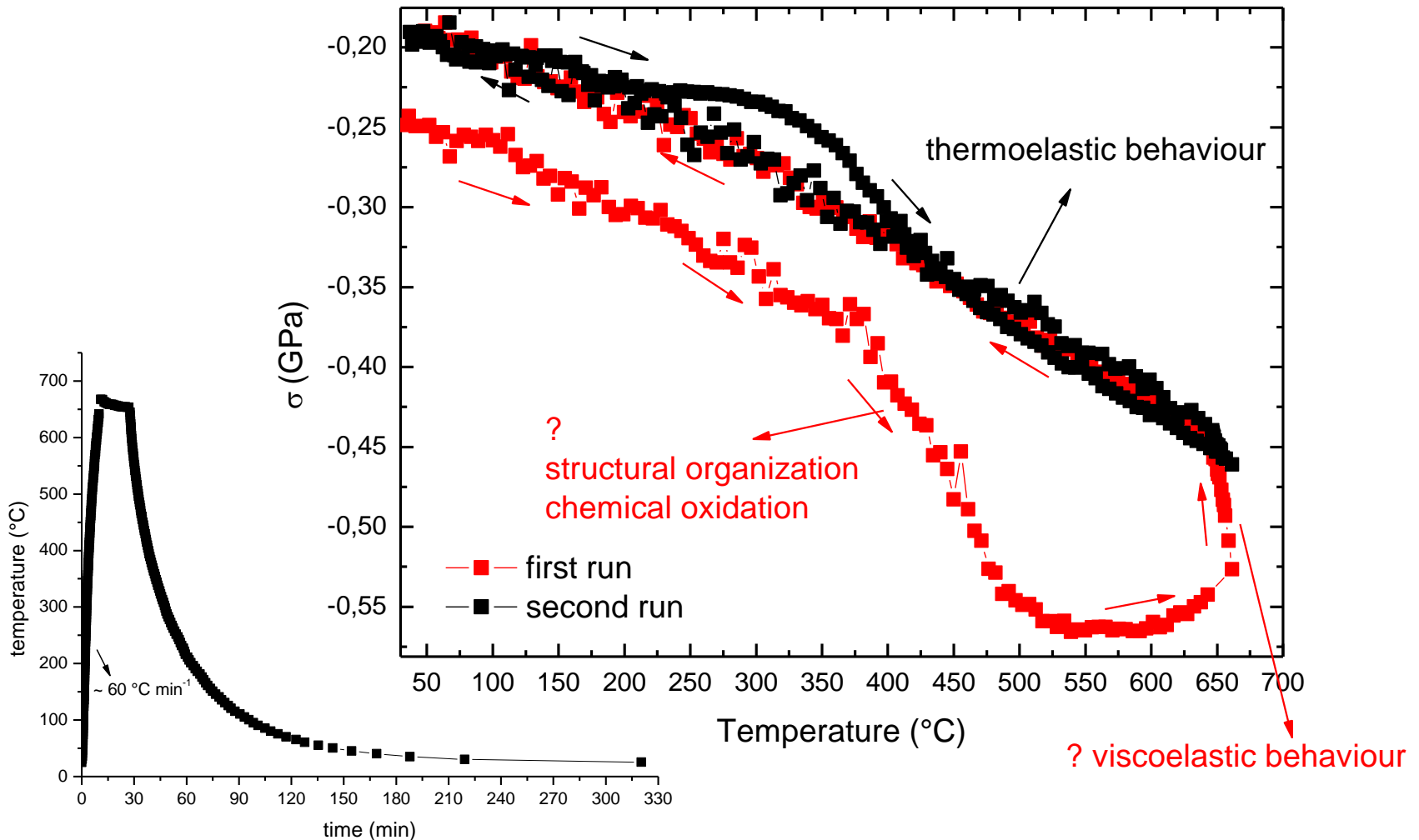
[O⁻]

Local stress

Faou et al. *Thin Solid Films* (2013) 222

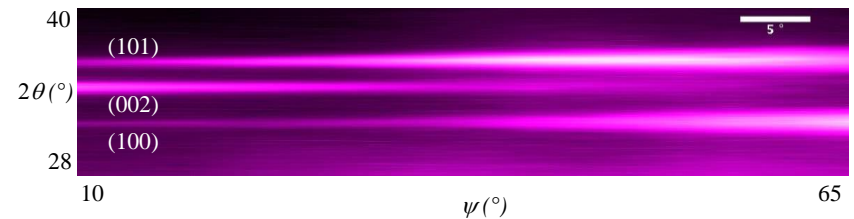
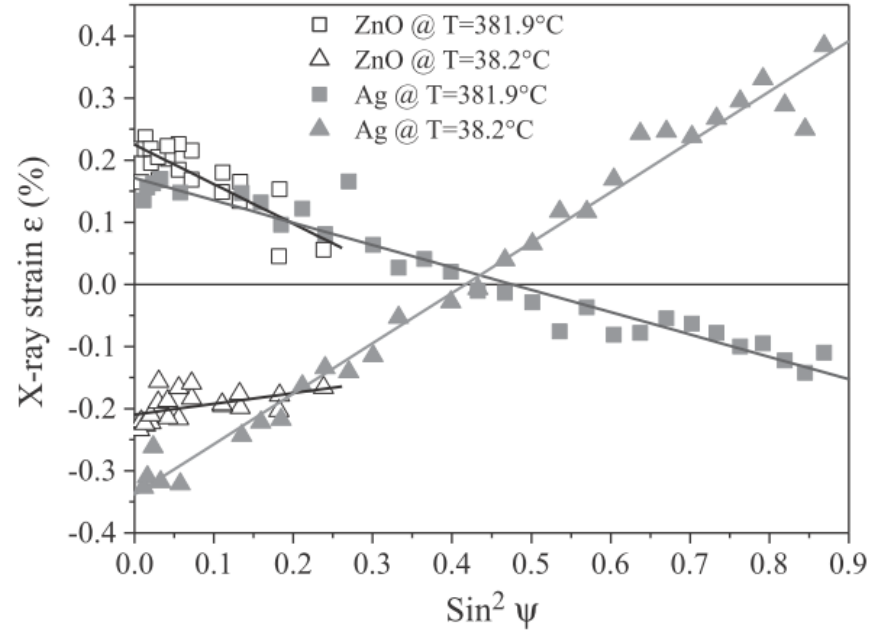
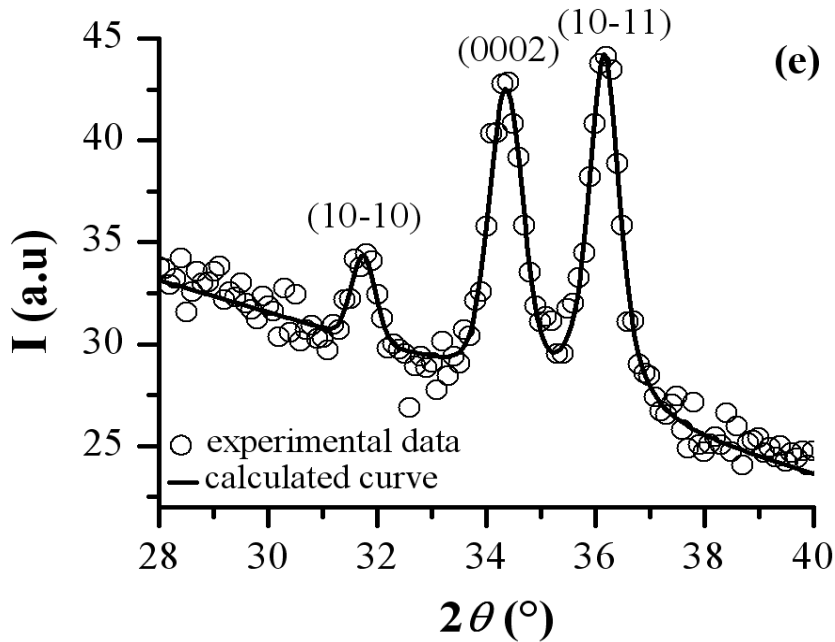
Stress measurements – Stoney method

800 nm of ZnO:F
on Si(100)



The stress “relaxation” on the first run is usually observed for magnetron films (Si_3N_4 , SnZnO_x , ...)

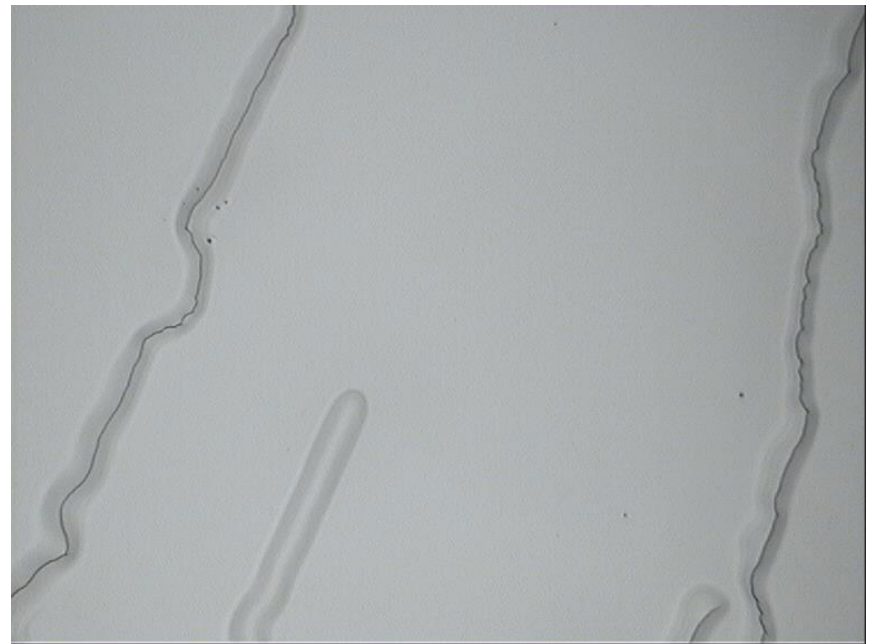
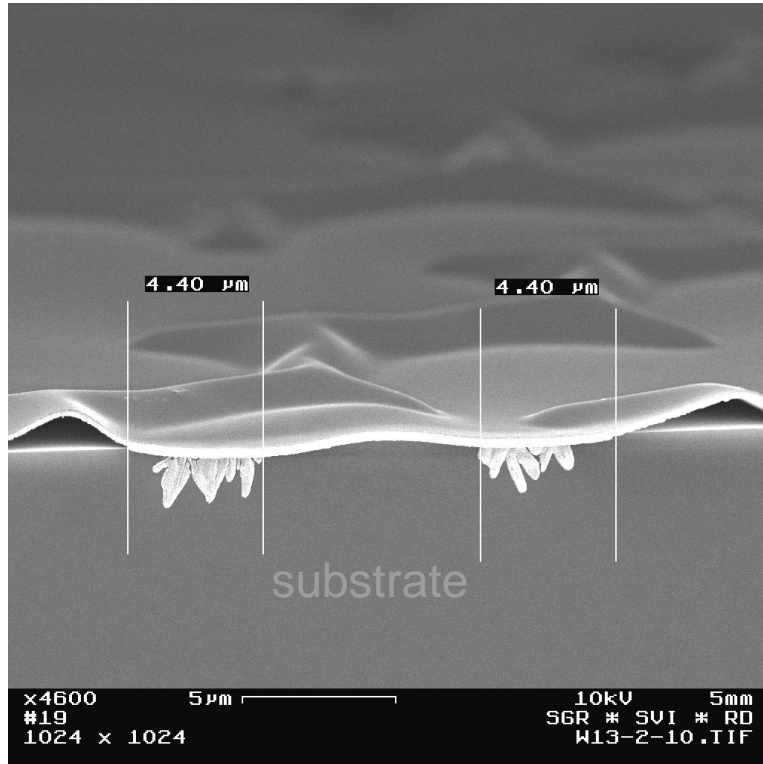
X Ray Determination of Residual Stresses



 ZnO films (texture)

 Not possible on amorphous materials (SiO_2 , SnO_2)

II – Adhesion/fracture



Crack propagation – Energy release rate

- Energy release rate

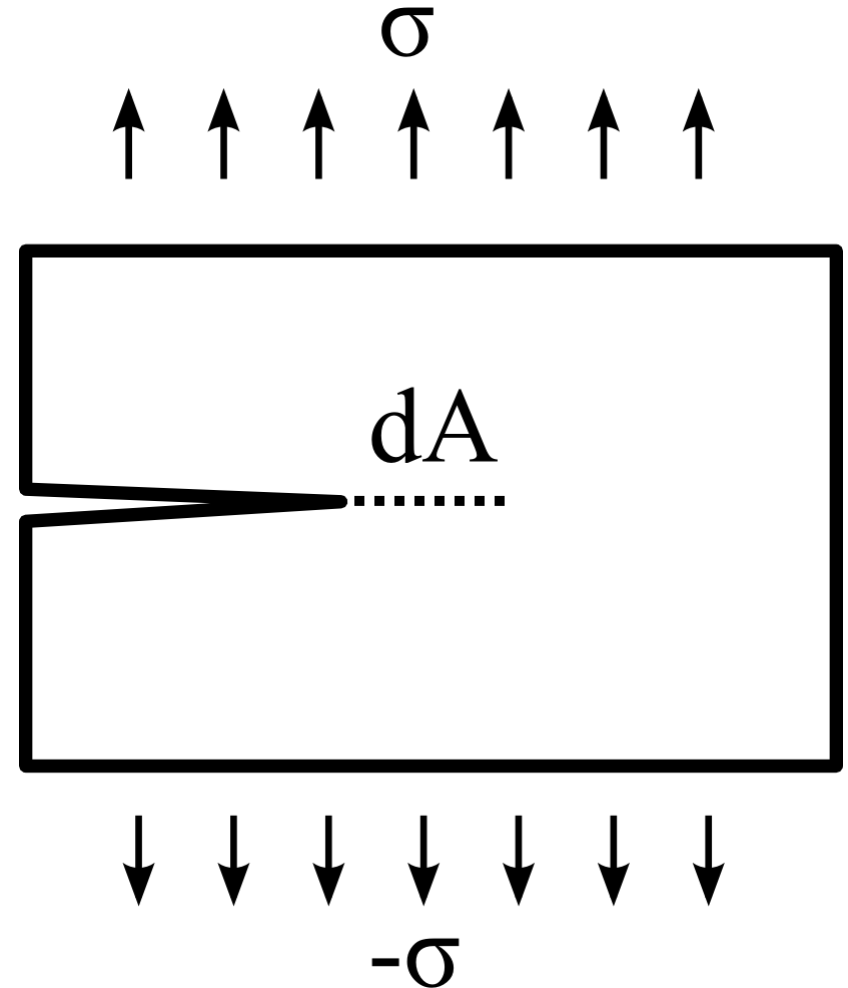
$$\mathcal{G} \equiv -\frac{d\mathcal{E}_{mech}}{dA}$$

A is the *fracture area*

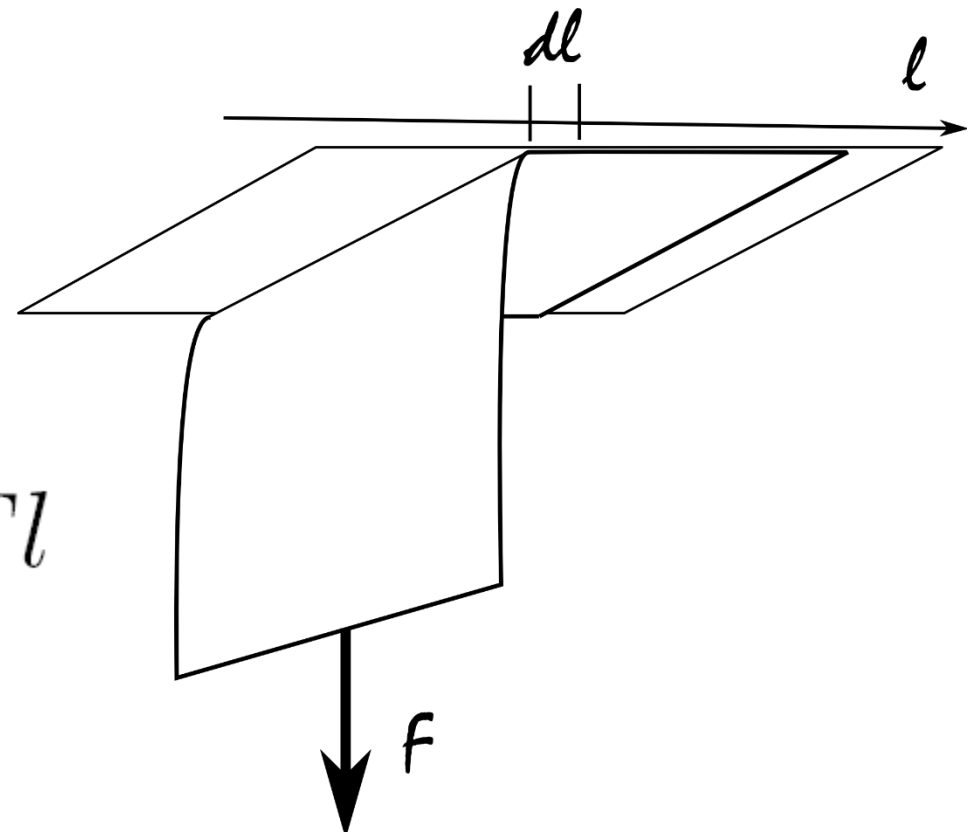
$$\mathcal{E}_{mech} = \mathcal{E}_{elas} + \mathcal{E}_{pot}$$

- Equilibrium

$$\mathcal{G} = w$$



90° Peel test

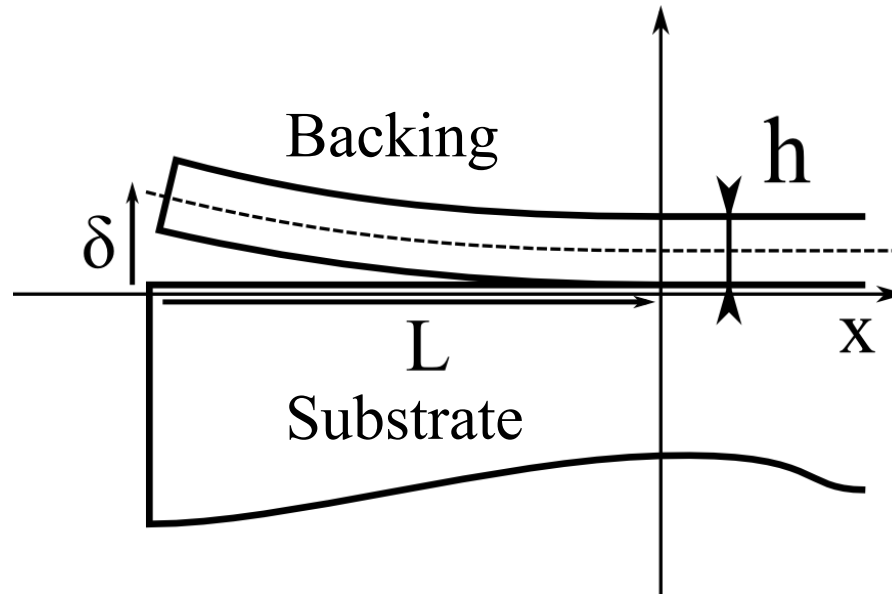


$$\mathcal{E}_{mech} = \mathcal{E}_{pot} = Fl$$

$$\mathcal{G} = -\frac{F}{b}$$

$$|F| = wb$$

The Double Cantilever Beam (DCB)



The Double Cantilever Beam (DCB)

- Linear system

$$F = \alpha \delta \quad \text{with} \quad \alpha = \frac{Eb}{4} \left(\frac{h}{L} \right)^3$$

- Energy release rate

$$\mathcal{G} = \frac{3Eh^3}{8} \frac{\delta^2}{L^4}$$

- or

$$\mathcal{G} = \frac{6}{Eh^3} L^2 \left(\frac{F}{b} \right)^2$$

- Impacts the stability

(A)symmetric peel test – Elastic strip

- ethylene propylene rubber / PMMA + thin EPR film
- 10 cm wide / 12 mN / applied 60 mm
- a: no propagation / b: crack speed 2 $\mu\text{m/s}$

6. EXPERIMENTAL PROOF THAT STRESS DOES NOT DRIVE CRACKS

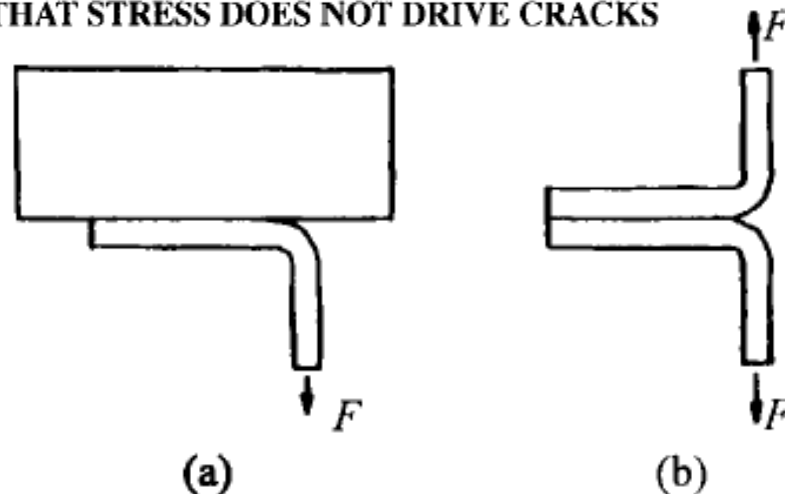
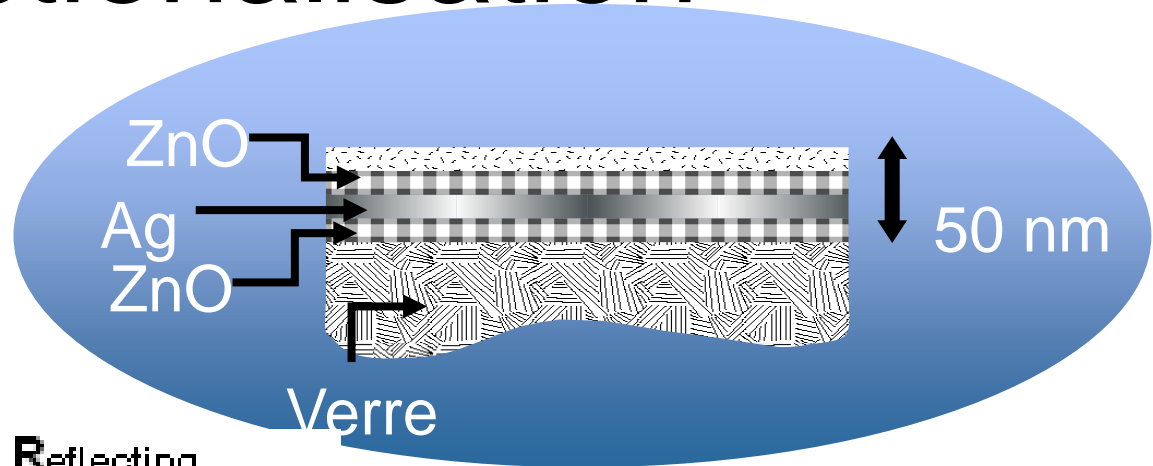
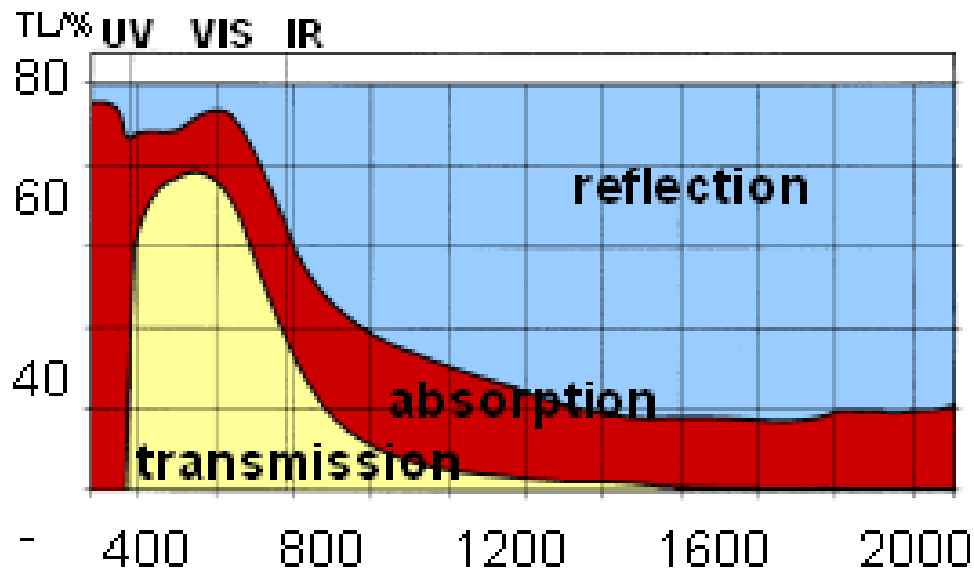


Figure 6. (a) Peel test with F just low enough to prevent cracking; (b) peel test at the same stress now fractures.

Optical functionalisation

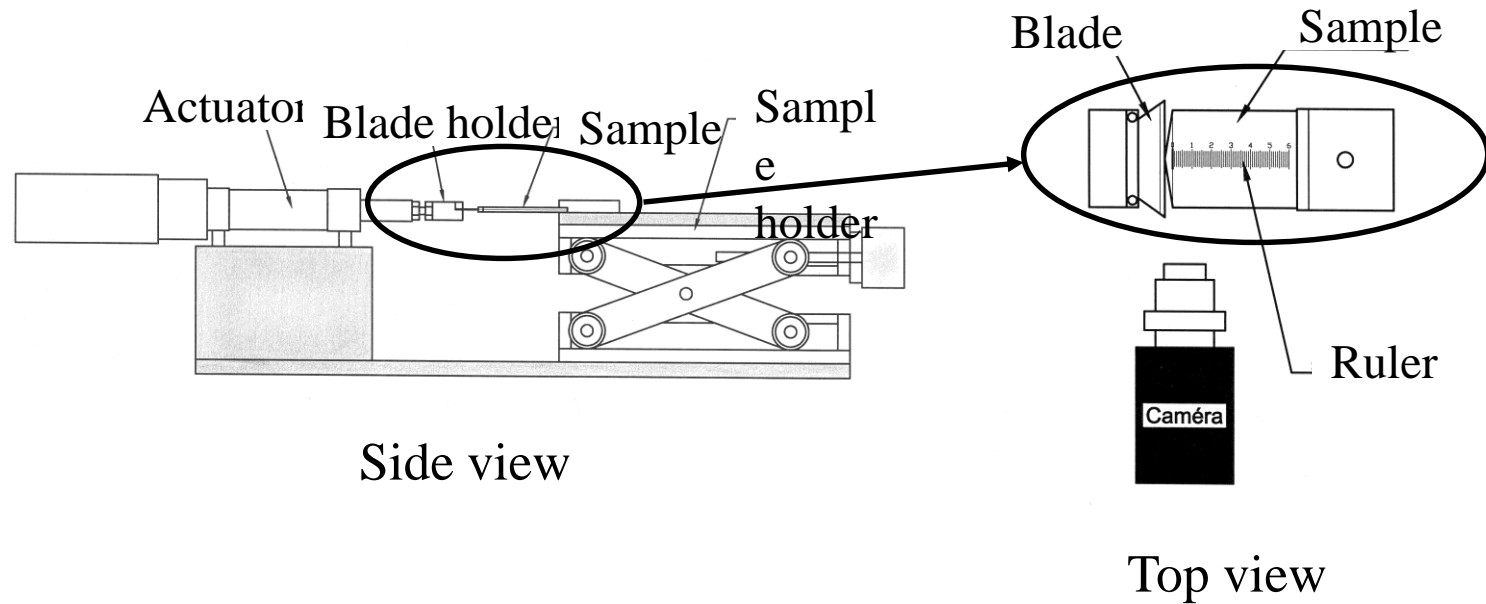


SGS THERMOCONTROL® Reflecting

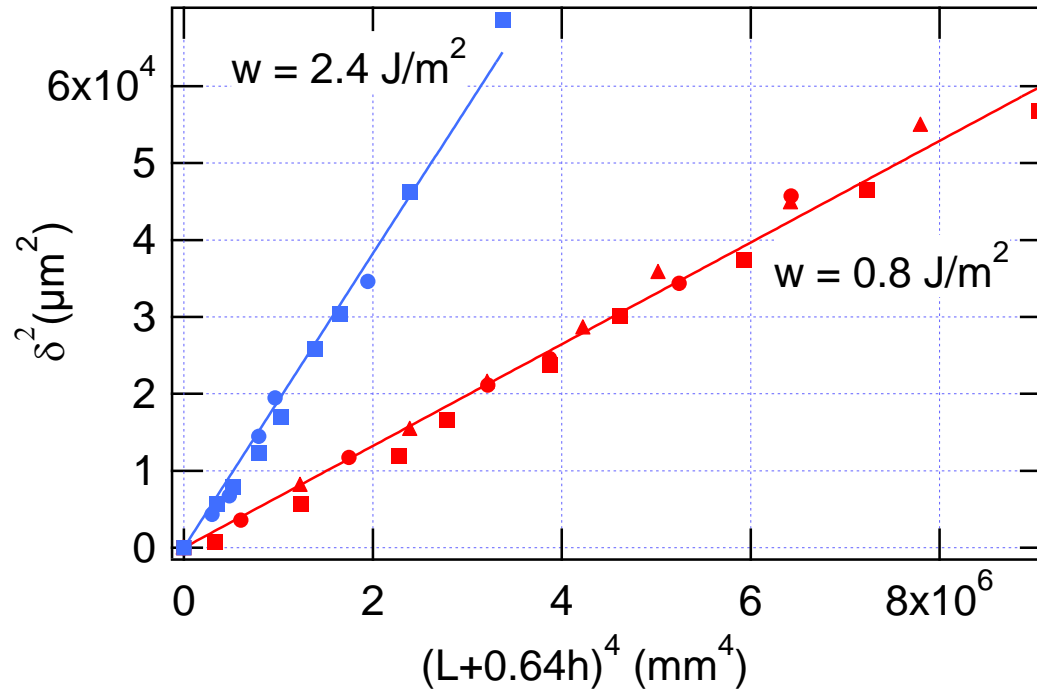


DCB adhesion energy measurement

experimental set-up



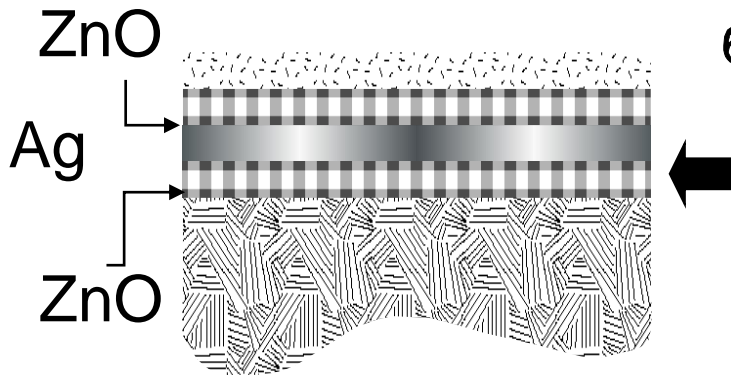
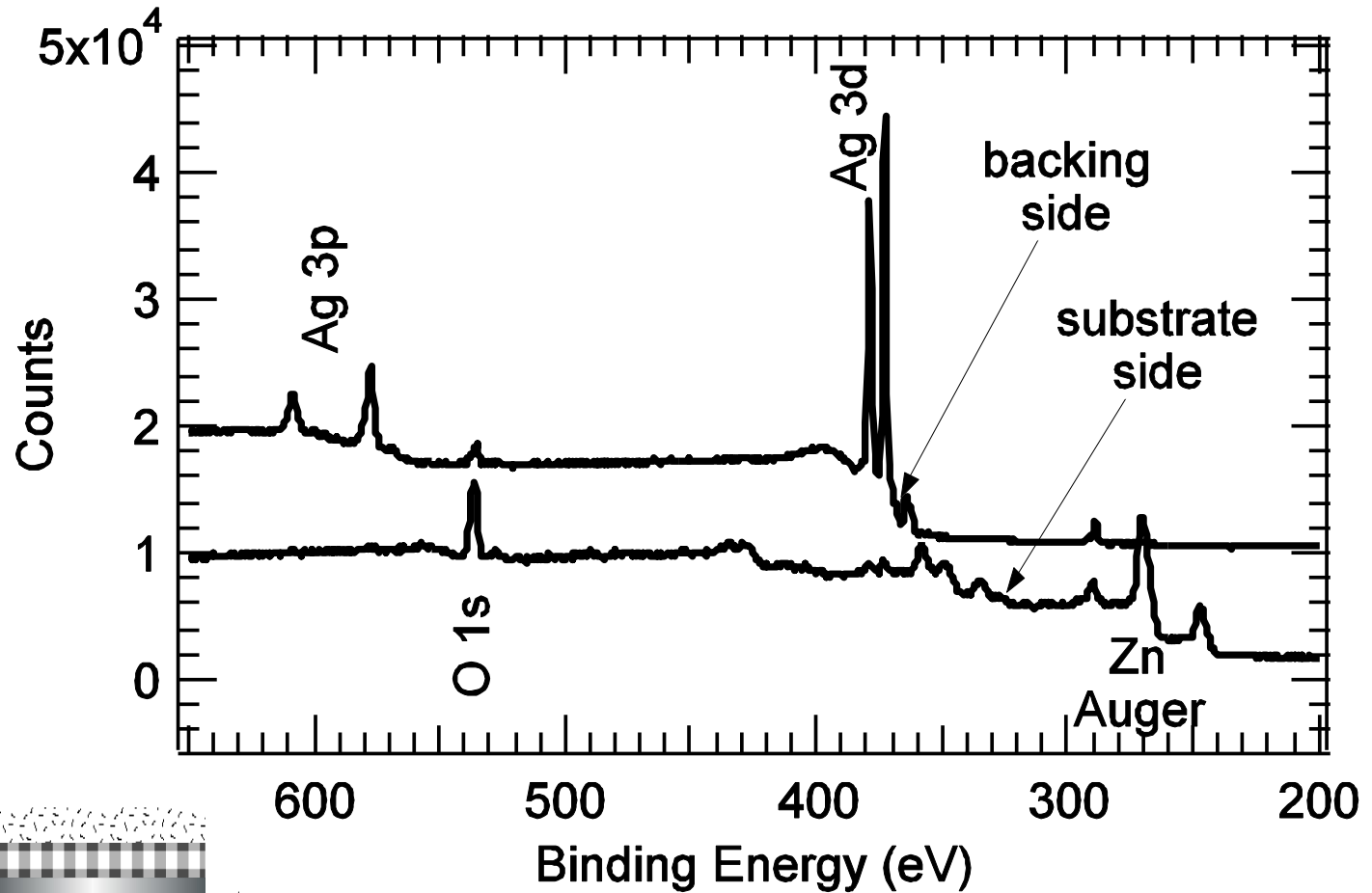
DCB – results



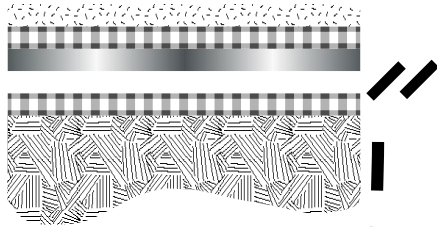
Obreimov, Kanninen

Barthel et al. Thin Solid Films, 2005

Identification of the interfaces - XPS

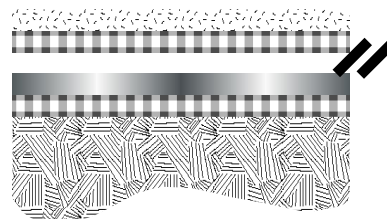


Impact of underlayer



// is the locus of failure

1	Glass / Si_3N_4 // Ag / ZnO	0,7 J/m² ± 0,2 (2 s.)
2	Glass / ZnO // Ag / ZnO	1,5 J/m² ± 0,2 (2 s.)
3	Glass / TiO_2 / Ag // ZnO	2,1 J/m² ± 0,7 (3 s.)
4	Glass / SnO_2 / Ag // ZnO	2,4 J/m² ± 0,1 (3 s.)

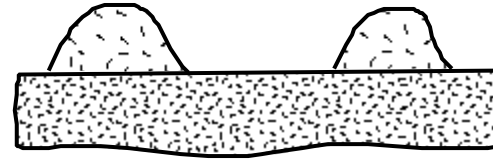


Deposition is not at equilibrium

Silver on oxide:



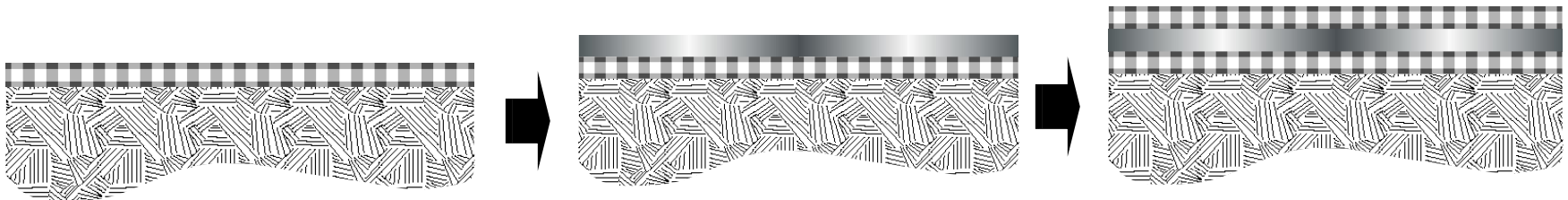
Ambiant
Temperature



High
Temperature

Ag does not wet ZnO; ZnO wets Ag

History matters:





PL1 = 52.927 μm

2010/06/18 14:28:02

Lentille	MX(G)-5040Z : Normal : x350
Echelle	871.430 μm
Resolution	0.545 μm

200 μm

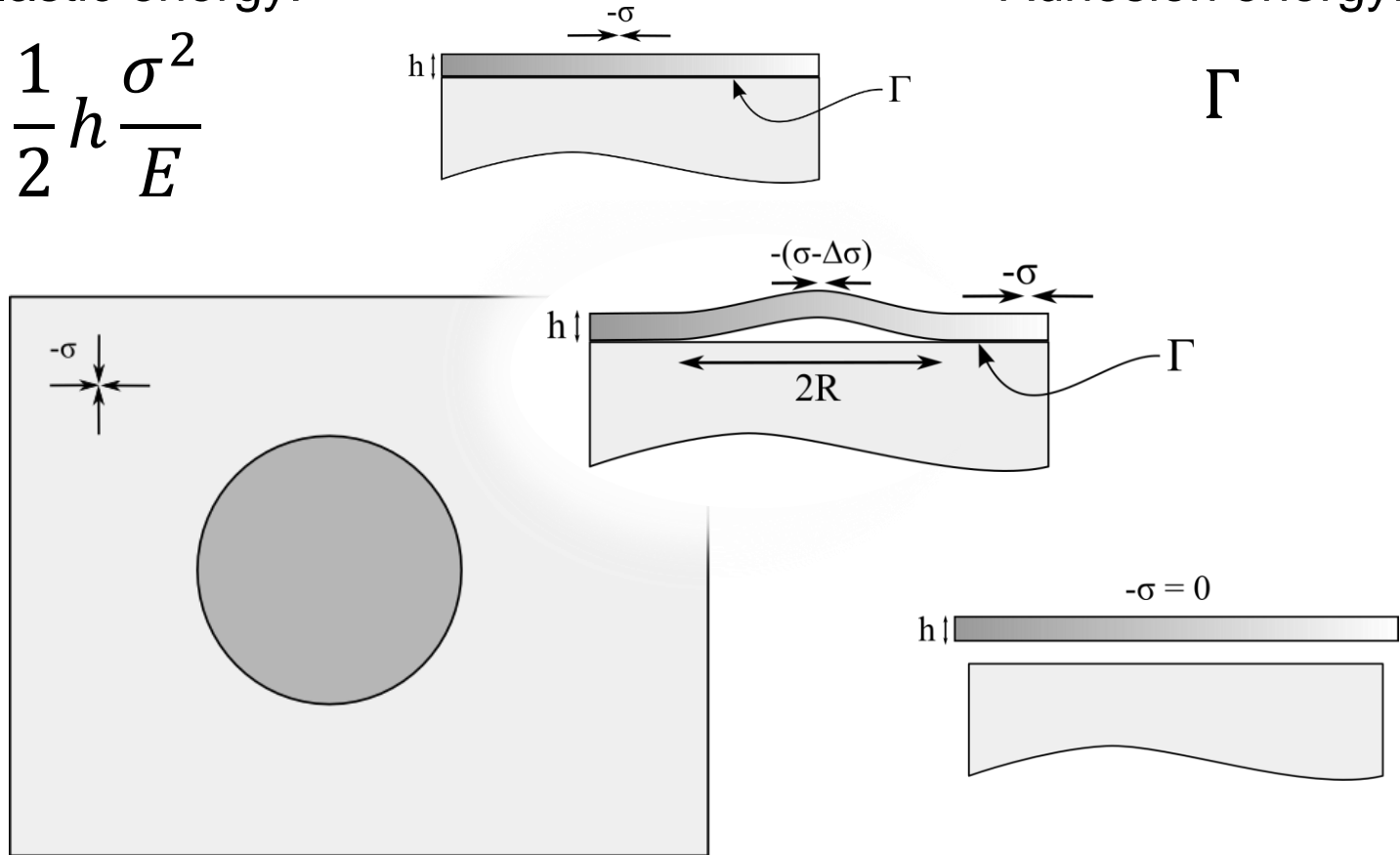
Elementary fracture mechanics

Available elastic energy:

$$G_0 = \frac{1}{2} h \frac{\sigma^2}{E}$$

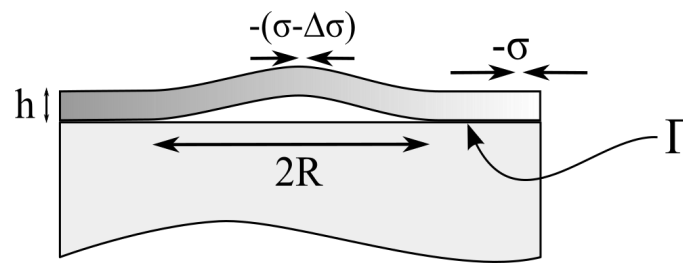
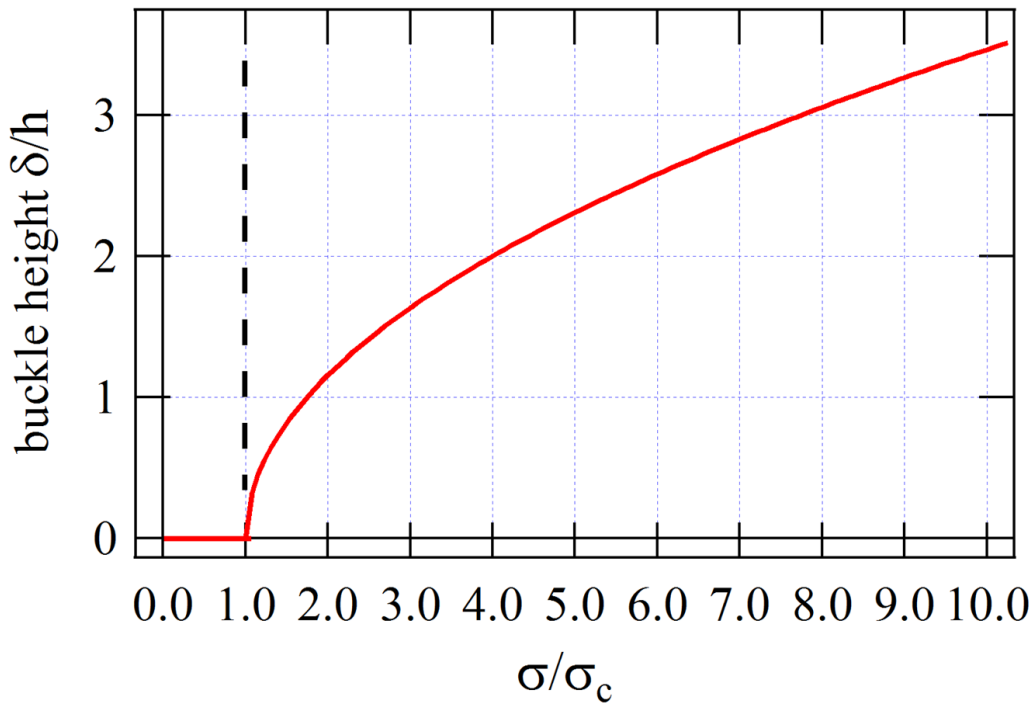
Adhesion energy:

Γ



Equibiaxial film stress

Threshold – buckling stress – circular buckle

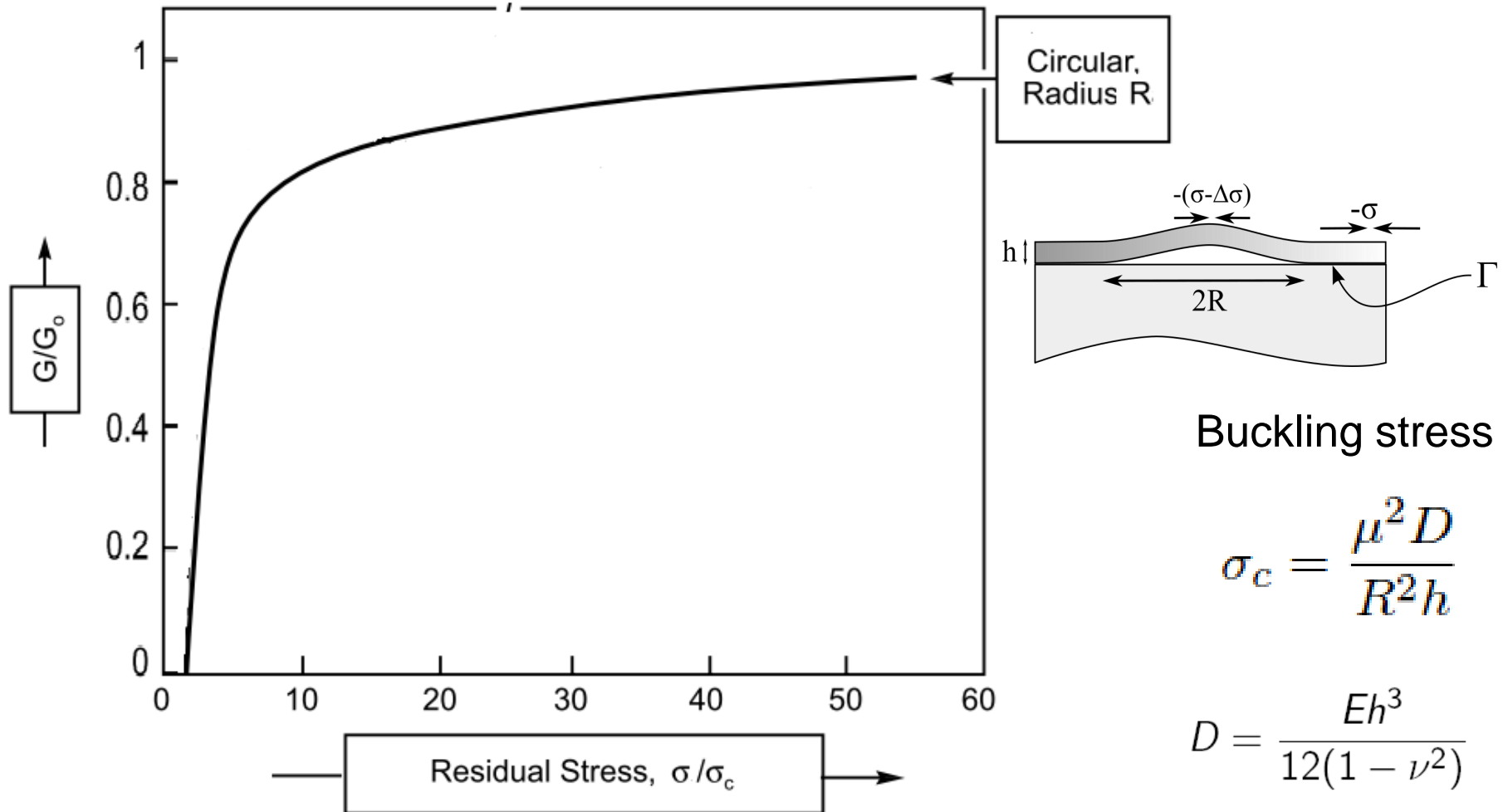


Buckling stress

$$\sigma_c = \frac{\mu^2 D}{R^2 h}$$

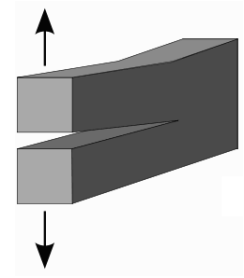
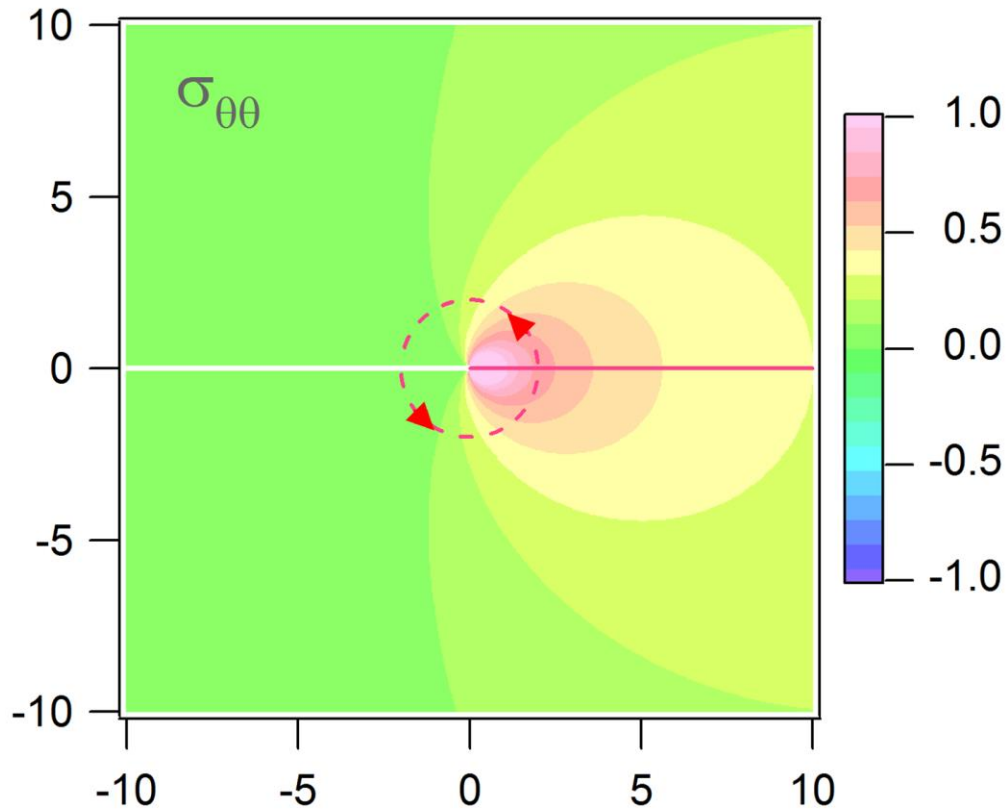
$$D = \frac{Eh^3}{12(1 - \nu^2)}$$

Circular blister – Energy release rate

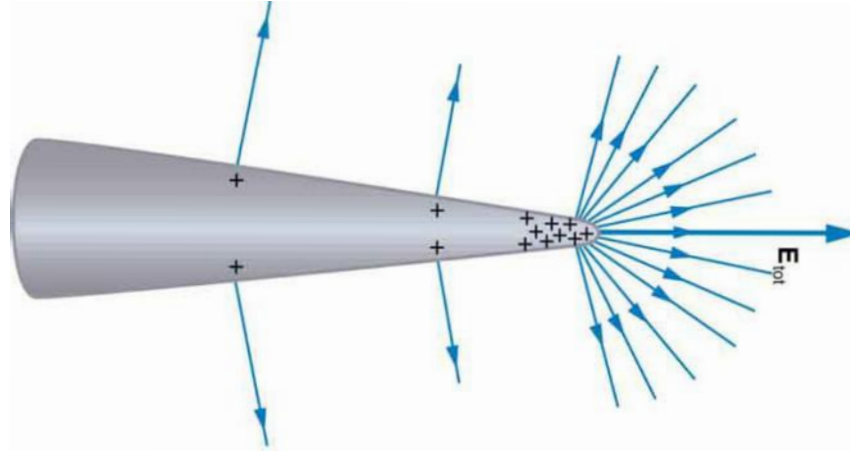


after Moon et al. JMPS (2002)

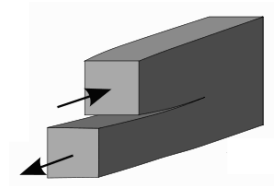
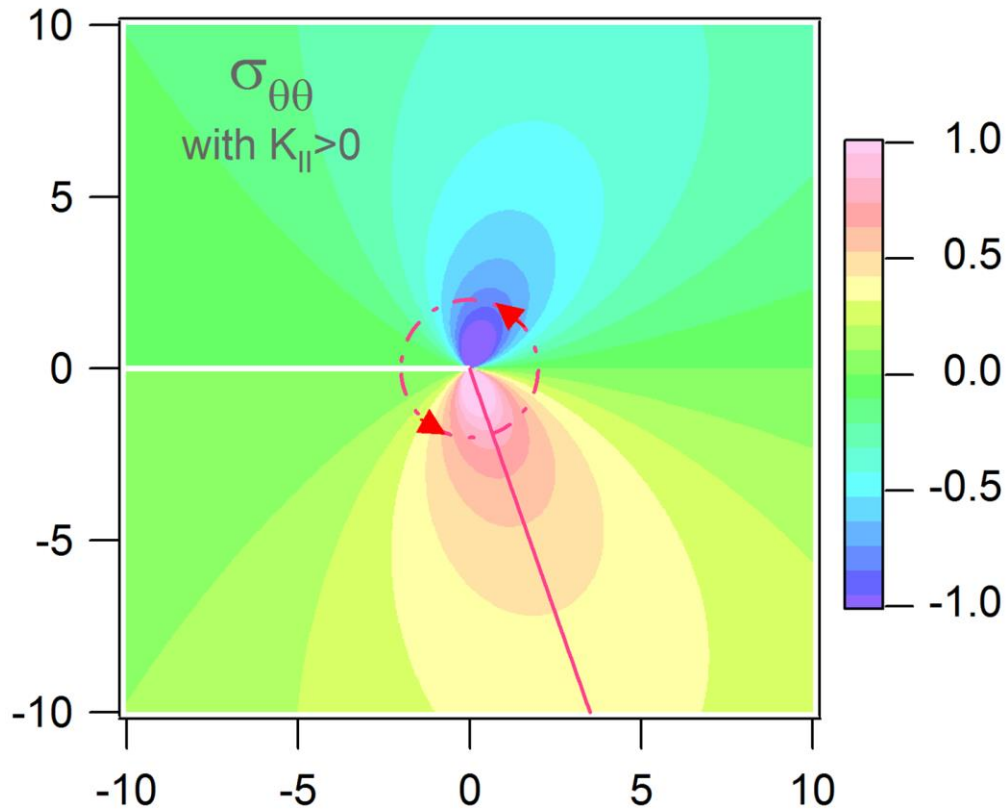
Fracture – mode I



Electrostatic – curvature effect



Fracture – mode II



Fracture – crack path selection



<https://exarc.net/ark:/88735/10543>



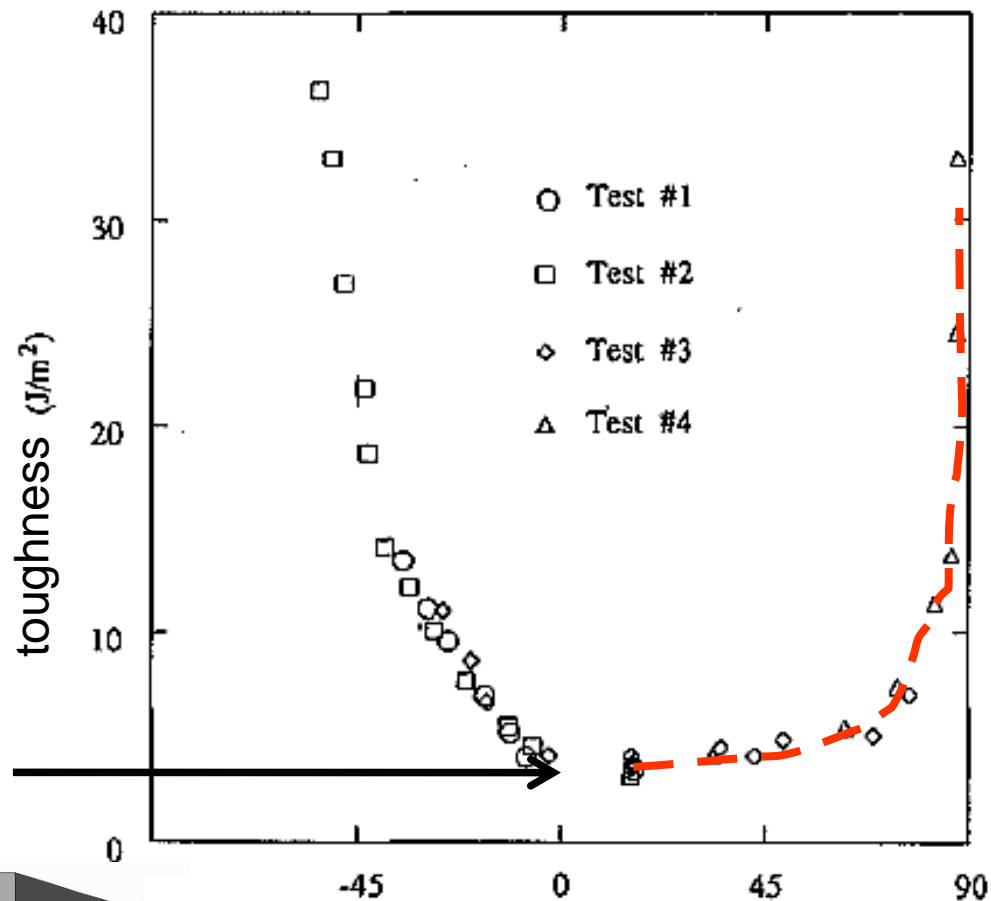
J. Pelegrin (CNRS silver medal in 2017)



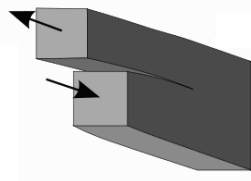
Interfacial toughness depends upon mode mixity

Interfacial toughness in mode I

G_{Ic}

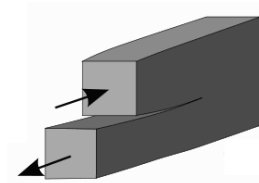
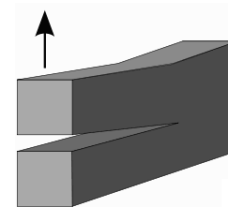


Mode II
 $\Psi = -90^\circ$



mixity ψ (Deg)

Mode I
 $\Psi = 0^\circ$



Mode II
 $\Psi = 90^\circ$

K.M. Liechti and Y. S. Chai, Journal of Applied Mechanics 59, 295 (1992)

J.W. Hutchinson and Z. Suo, Adv. Appl. Mech. 29, 63 (1992)

Blister – impact of mode mixity

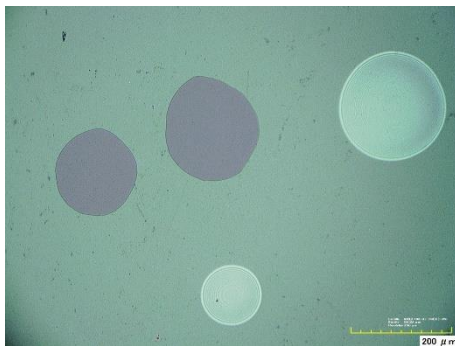
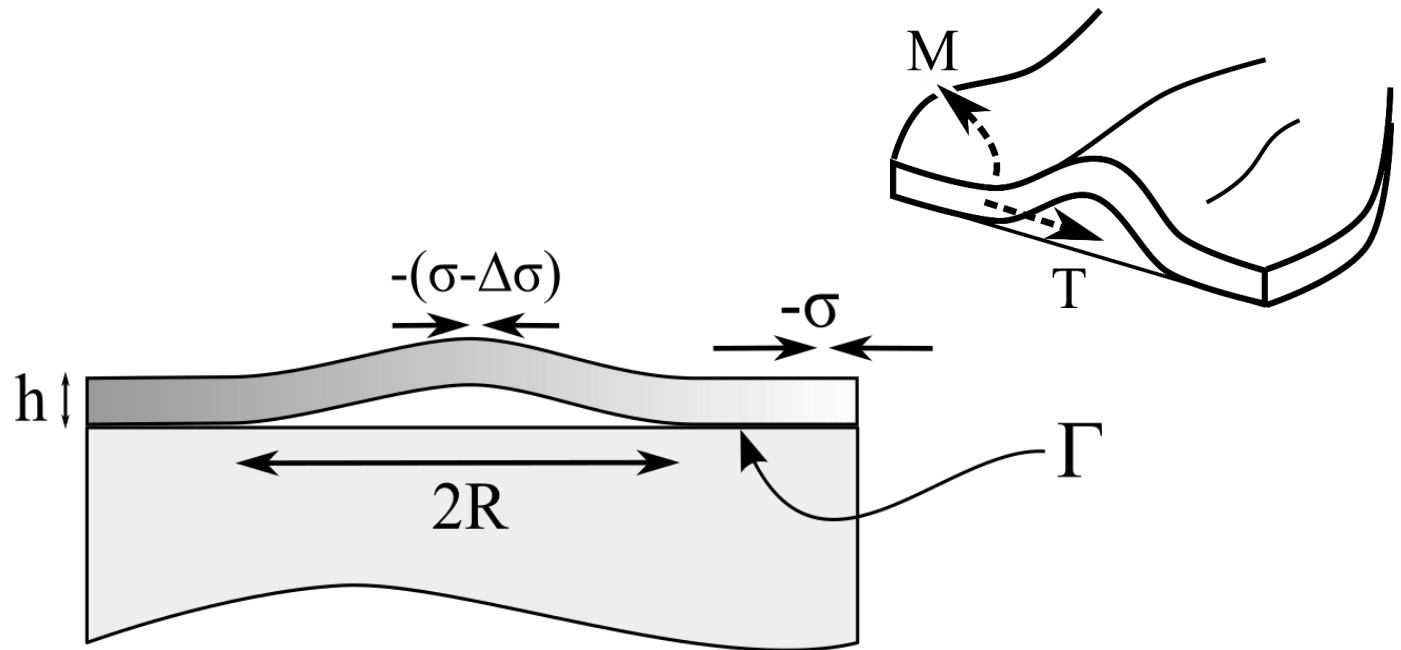
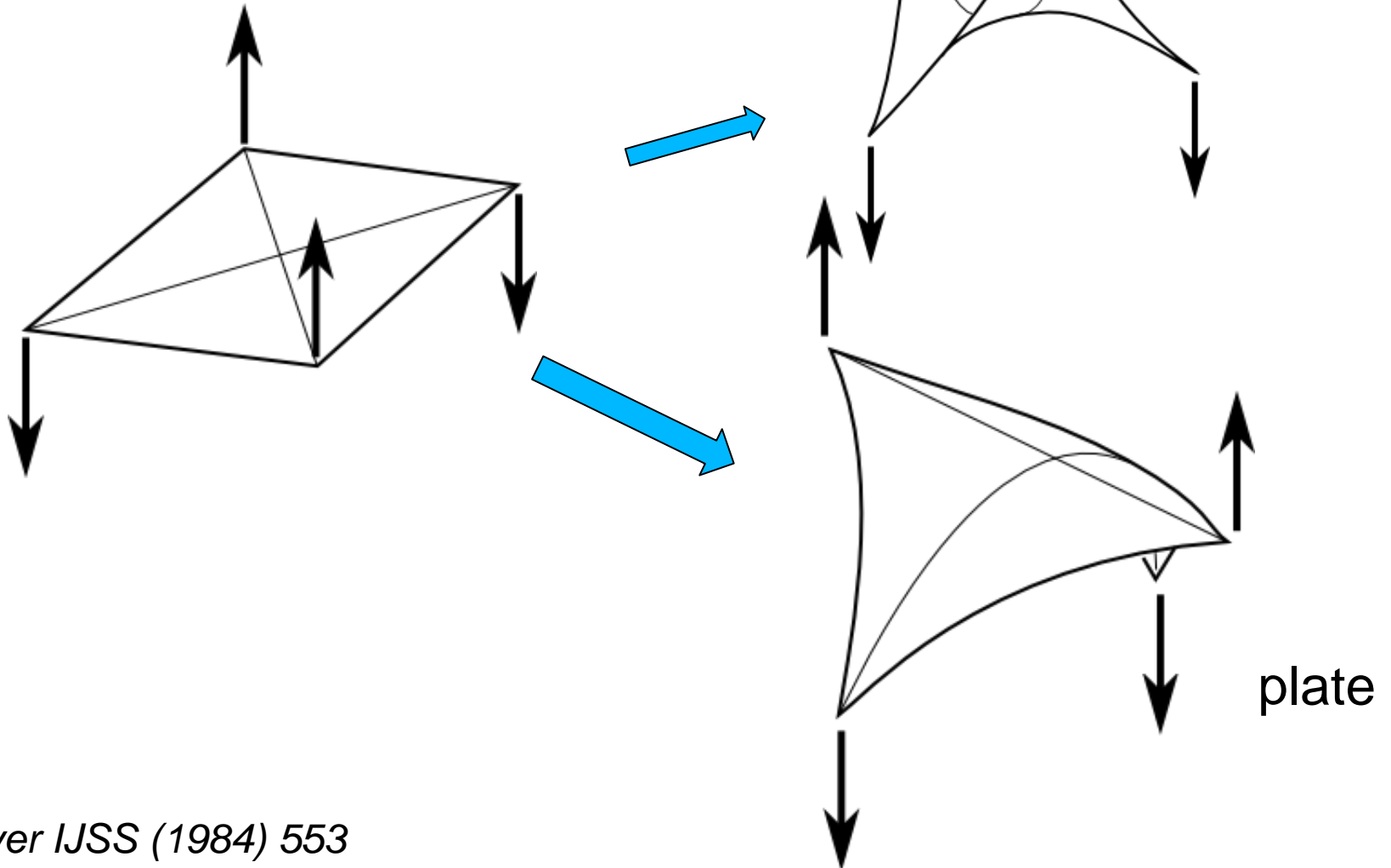
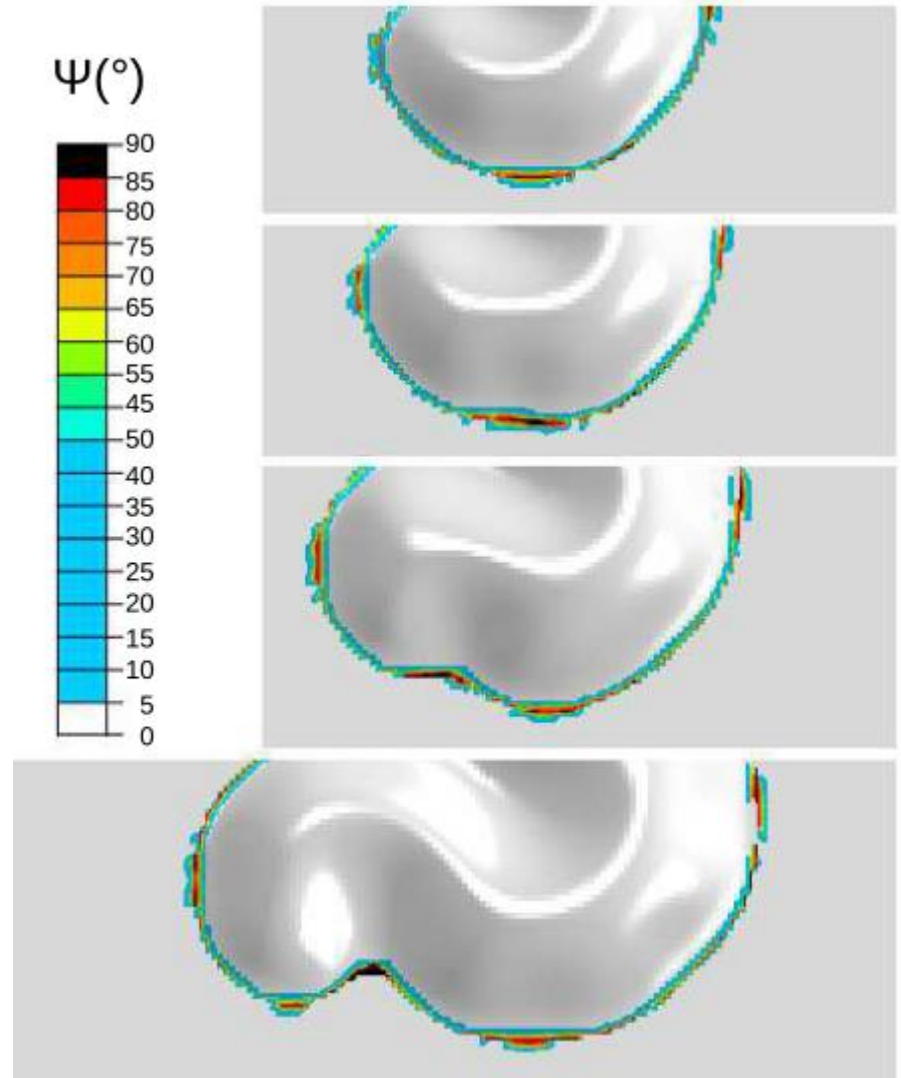


Plate mechanics



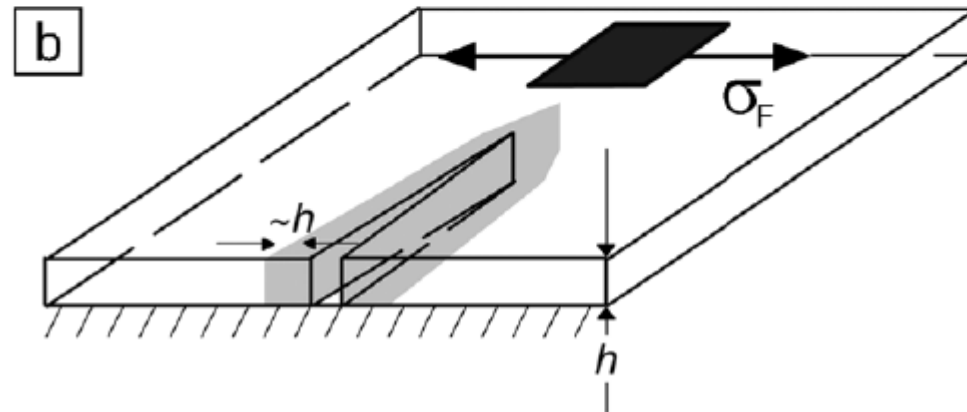
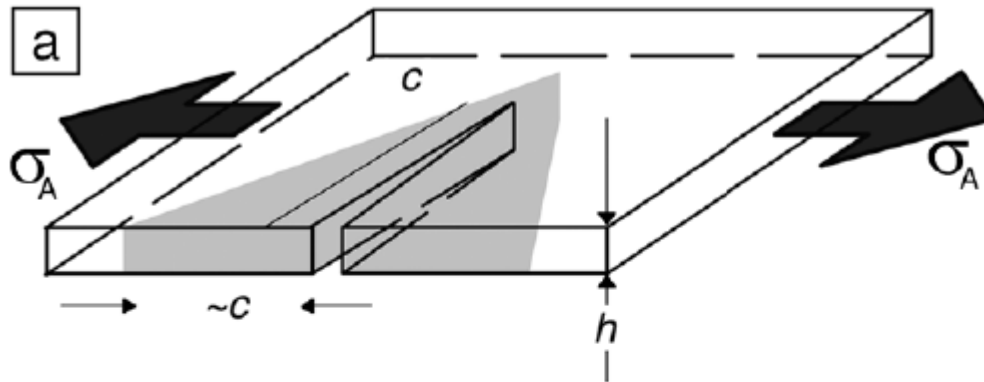
Hyer IJSS (1984) 553

Pinning by local conformation of the buckle



Faou et al. PRL (2012)

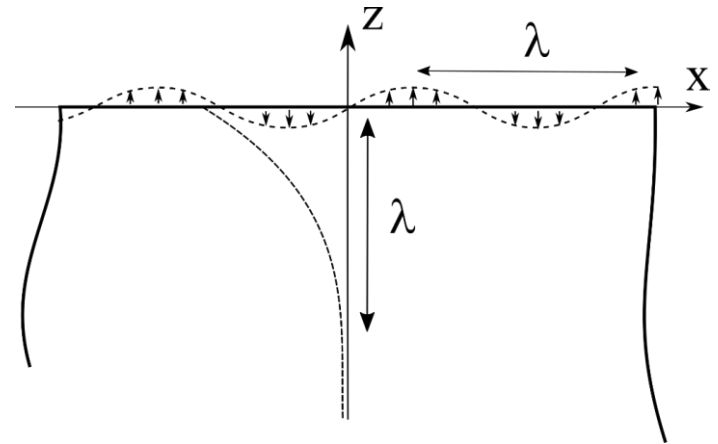
Channel cracks



Saint-Venant principle

$$\Delta u = 0$$

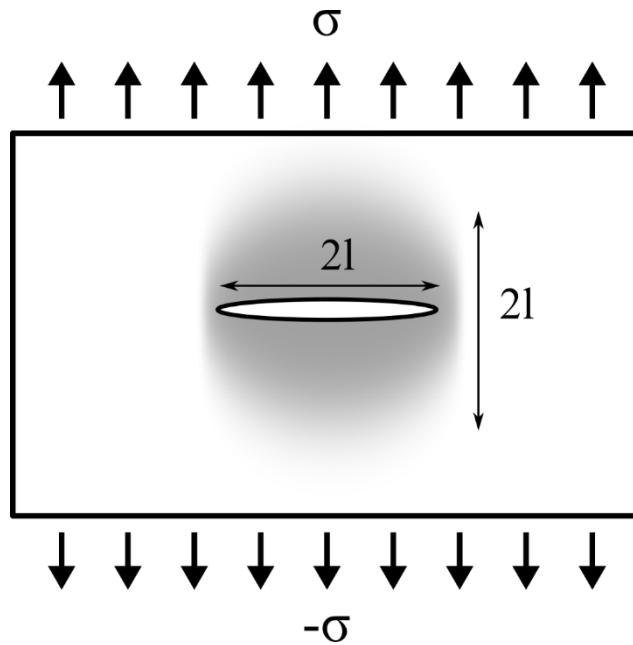
$$u(x, z) = e^{ikx} \tilde{u}(z)$$



$$\Delta u(x, z) = \left(\frac{\partial}{\partial x^2} + \frac{\partial}{\partial z^2} \right) u(x, z) = e^{ikx} \left(-k^2 + \frac{\partial}{\partial z^2} \right) \tilde{u}(z) = 0$$

$$\tilde{u}(z) \propto e^{\pm kz}$$

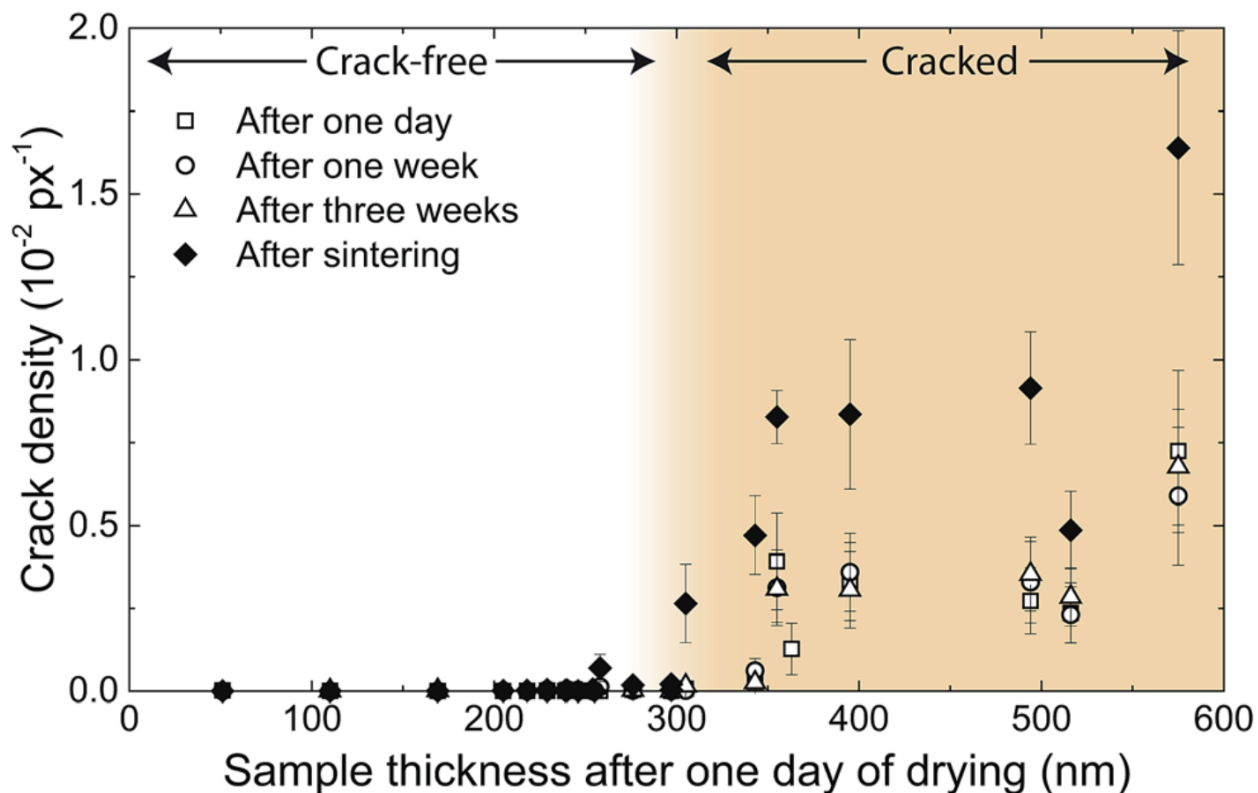
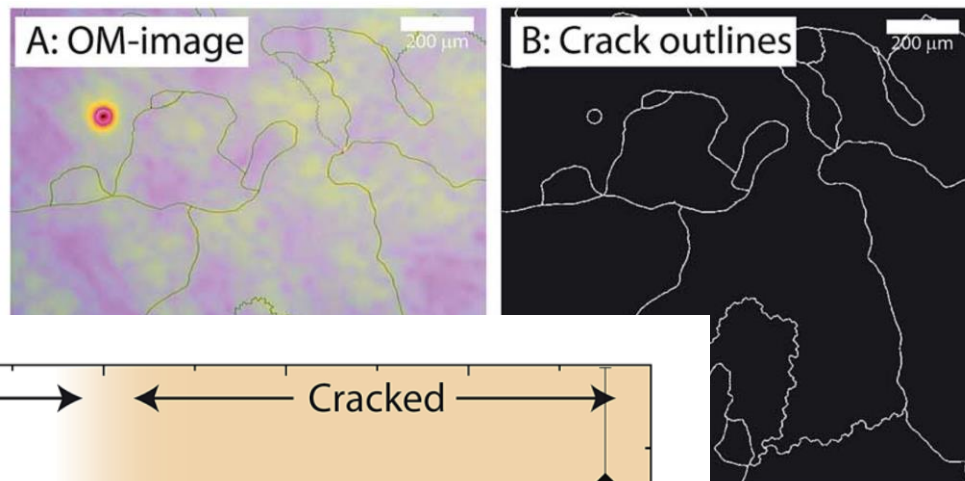
Energy release rate for a Griffith crack



$$\mathcal{E}_{\text{tot}} \simeq l^2 b \left(\frac{\sigma^2}{E} \right)$$

$$G_{\text{Griffith}} \simeq l \left(\frac{\sigma^2}{E} \right)$$

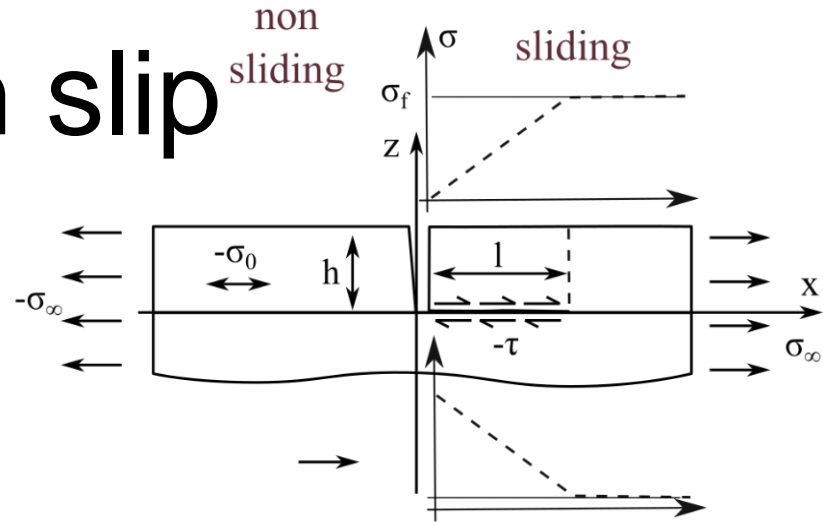
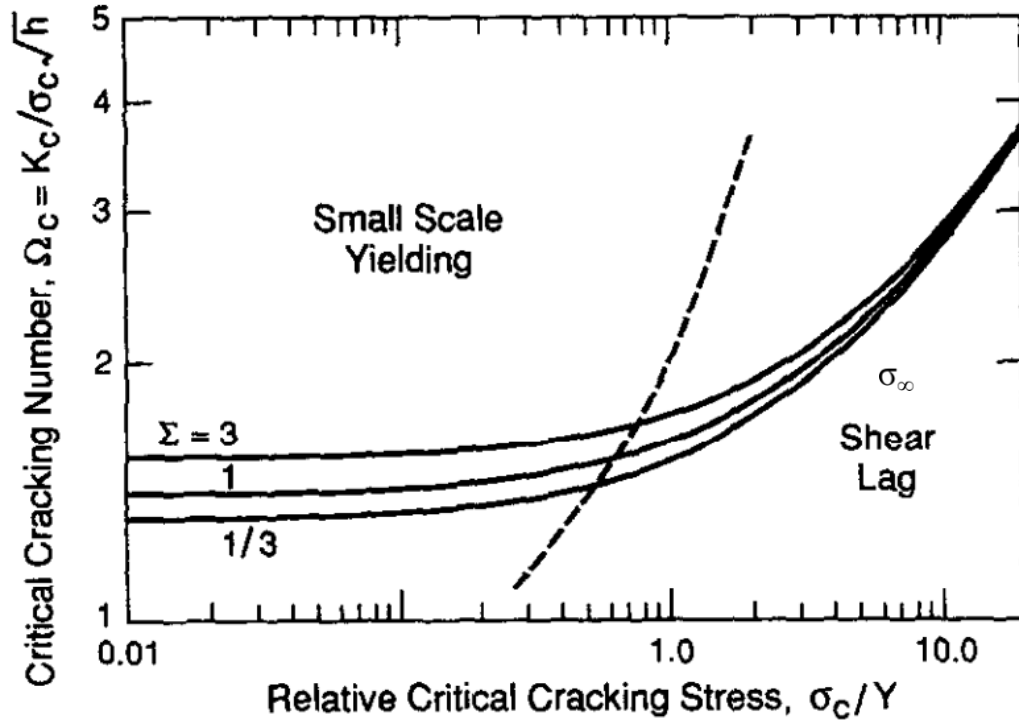
Fragmentation



Sol-gel silica films

Back to interfacial rupture

Relaxation through slip

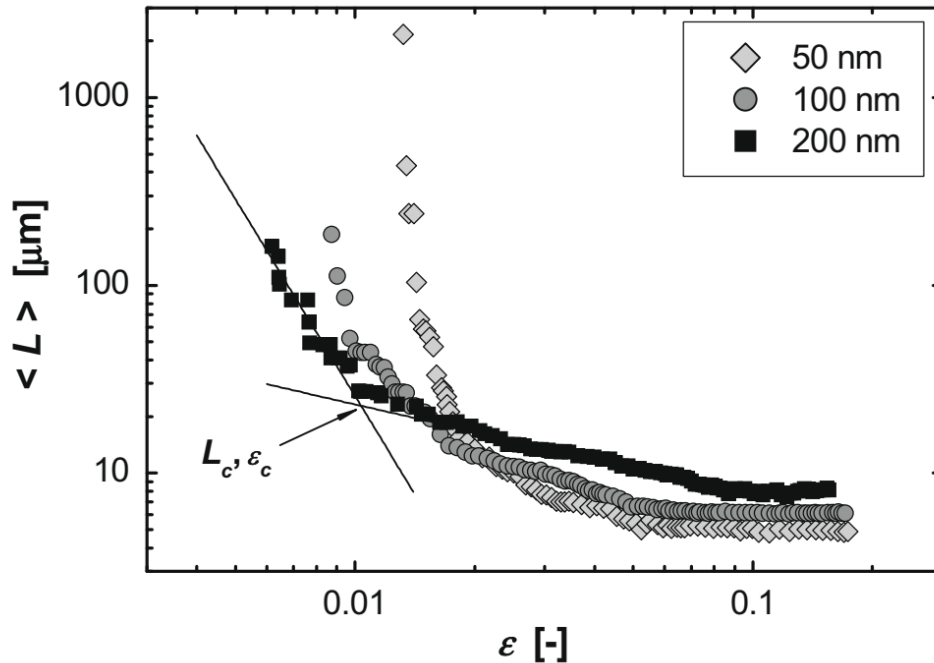


$$l = \frac{\sigma_f h}{\tau}$$

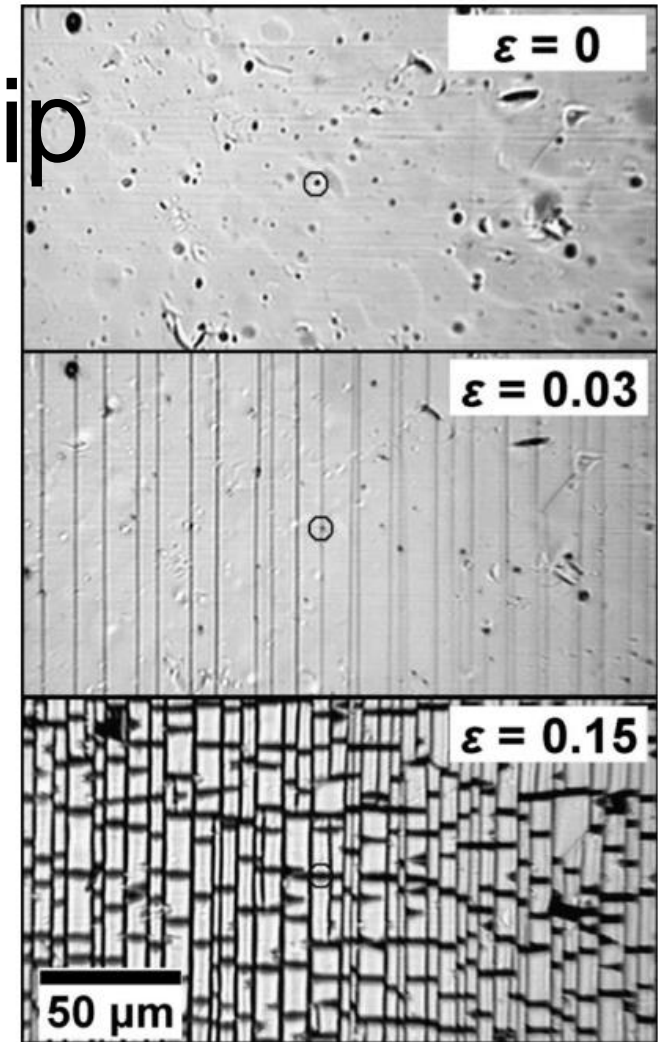
$$\Delta U \simeq -\frac{\sigma^2 h^2}{E f} \left[\frac{\sigma}{3\tau} + \pi F(\Sigma) \right]$$

$$G \equiv -\frac{\Delta U}{h} \simeq -\frac{\sigma^2 h}{E f} \left[\frac{\sigma}{3\tau} + \pi F(\Sigma) \right]$$

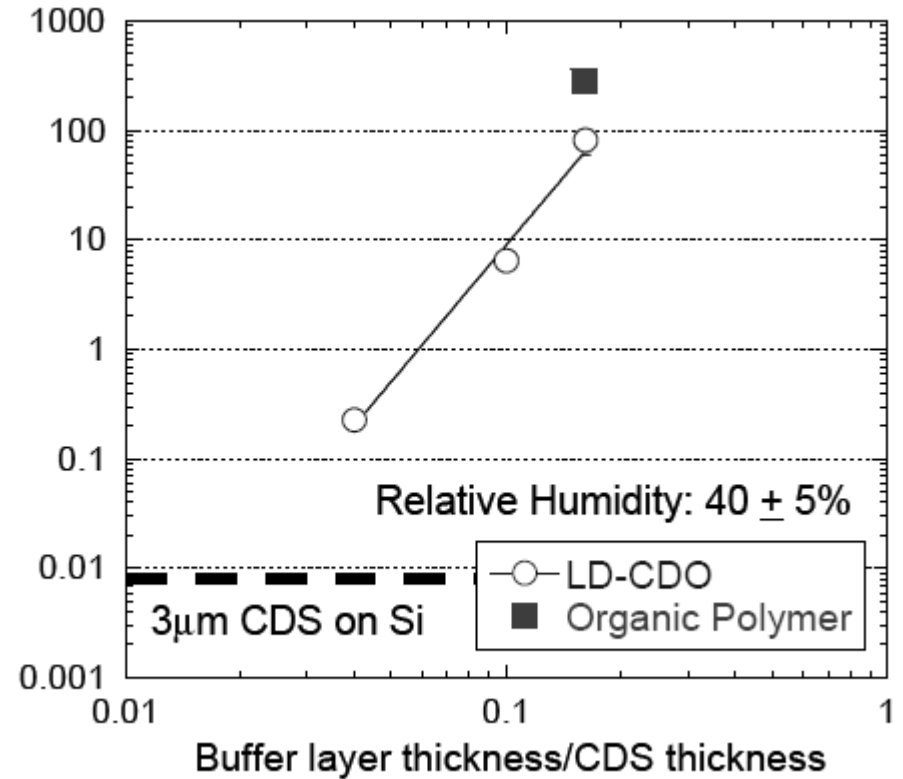
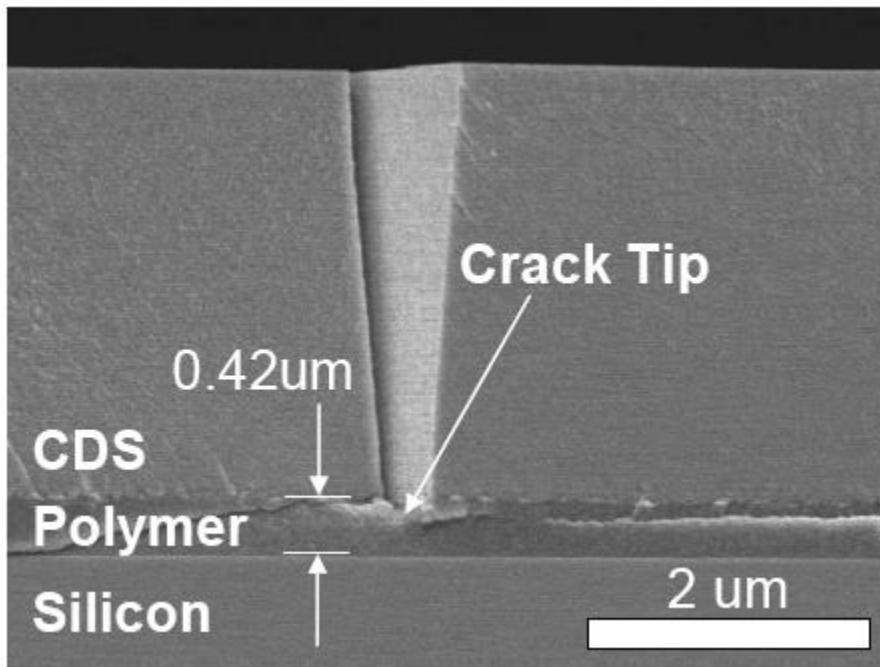
Relaxation through slip



Mean fragment length



Coupling to the substrate



Tsui & Vlassack